



Iowa Department of Corrections FY2021 Annual Report

Offender Success is our goal
Reentry is our process
Evidence Based Practices are our tools
Staff is our most important asset

The seal of the State of Iowa Department of Corrections is a large, light blue circular emblem. It features a central classical building with five columns and a pediment. Above the building is a sunburst. The words "STATE OF IOWA" are arched across the top, and "DEPARTMENT OF CORRECTIONS" is arched across the bottom. A banner at the base of the columns reads "INSTITUTIONS AND COMMUNITY".

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Message from Director Beth Skinner, PhD



To Those Reading this Report,

There is no denying the past year has been one of the most trying times in the history of the Iowa Department of Corrections. On March 23, 2021, we tragically lost two of our own, Robert McFarland and Lorena Schulte. Lori Mathis was also held against her will after coming to the aid of her co-workers. They are true heroes in every sense of the word.

We continue to do all we can as a department to support the families and corrections staff who were impacted that day. While we were shaken to our core, we found a way to band together and I can confidently say we are stronger than ever as a corrections family. The men and women who make up this department have been faced with unimaginable challenges and risen to the occasion time and time again.

Working within a correctional institution requires a commitment to creating opportunities for safer communities. This commitment has been challenged by the events of the past year and the ongoing COVID-19 pandemic. Our institutions continue to rigorously battle and mitigate the spread of COVID-19 amongst inmates and staff. I'm proud to report our infection rates are down significantly from a year ago and our vaccination rates within the prison population continues to rise.

As a department, we have worked incredibly hard to implement policies and procedures throughout our institutions that keep everyone safe as we work through the pandemic. It is difficult to imagine just how quickly infection rates can climb, and our decreasing numbers throughout our institutions indicate our hard work has paid off. But our work is not done yet. We continue to be vigilant and we continue to do all we can to ensure the safety of our staff and the offender population.

In Fiscal Year 2021, the Department encountered unimaginable challenges with a senseless act of violence and an on-going worldwide pandemic. No matter what challenges lie ahead, I have the utmost confidence that our staff members will continue to carry out their duties honorably. Our future is bright, and I am proud each and every day to be a part of the corrections family.

Thank you,

A handwritten signature in blue ink, appearing to read "B/S.", written over a white background.

Dr. Beth Skinner, Director

Message from the Board of Corrections


Due to the continuing COVID-19 pandemic, many of the 2020-2021 Board of Corrections Meetings were conducted publicly via Zoom and simultaneously live streamed on the DOC YouTube channel.

For additional information regarding any Board of Corrections Meeting, please visit:

<https://doc.iowa.gov/about-us/board-corrections>

To view previous livestreams, visit the DOC YouTube channel:

<https://www.youtube.com/channel/UCItY2PABjitQpT4Op2w3kTw>



(L to R) : Deputy Director Sally Kreamer (presenting), Trent Keller, Webster Kranto (Vice Chair), Rebecca Williams (Chair), Larry Kudej

*An updated photo of current Board of Corrections members is not available.
Photo from the September 2021 Board of Corrections Meeting at the Newton Correctional Facility.*

On behalf of the Iowa Board of Corrections that served during FY2021, we submit this letter to those reviewing the department's annual report.

FY2021 provided unique and unprecedented challenges for the Iowa Department of Corrections. On March 23, a day that will not soon be forgotten, two inmates senselessly took the lives of Robert McFarland and Lorena Schulte. The Department of Corrections showed an extreme commitment to determine the cause and ensure the safety of staff and inmates within their institutions.

As the Department has continued to work through the COVID-19 pandemic, leadership and staff have worked diligently to mitigate the spread of COVID-19 in their institutions and community based correctional facilities. They've regularly updated their Master Action Plan (MAP) as the challenges of the pandemic continue to evolve. They've also instituted an aggressive vaccine protocol so that the staff, incarcerated population, and clients have easy access to the vaccine if they wish to receive it. It has been clear, everything that could be done to move past the pandemic and to more normal operating procedures has been done.

Undoubtedly, this fiscal year has brought challenging times for the department and its leadership. It is certainly not lost on the board and its members how difficult it has been. Despite all of the challenges, they have always kept us apprised and allowed the board to conduct its oversight duties effectively. One thing has been apparent, this department is resilient and will continue to put the safety of staff, the incarcerated population, clients, and the communities in which they serve first.

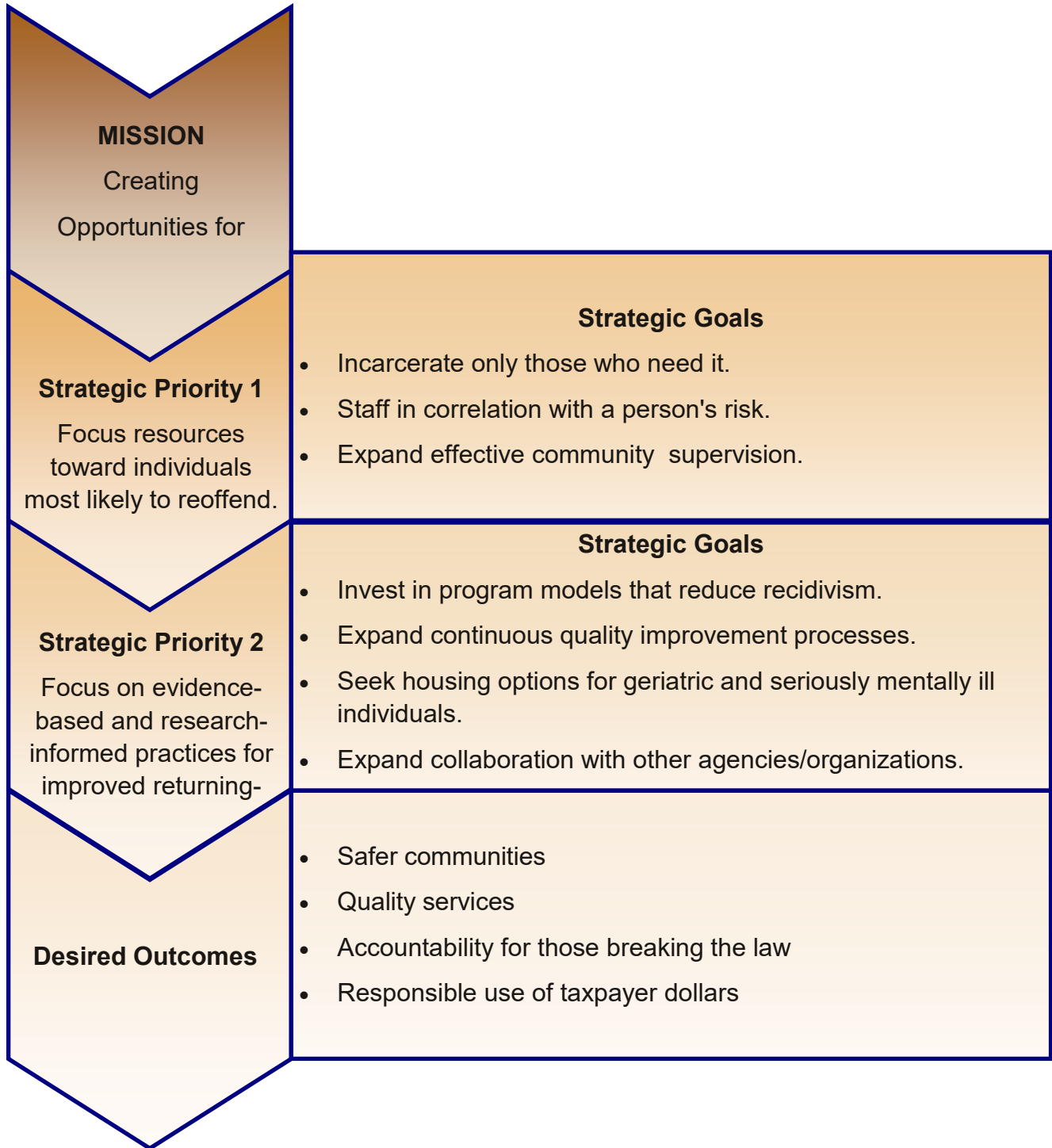
We are incredibly thankful for the men and women that serve the department of corrections in Iowa. Citizens of this state should be proud of the hard-work and dedication displayed on a daily basis by our correctional institutions, CBC's, and department leadership.

Rebecca Williams
Chair

Webster Kranto
Vice-Chair



Agency Strategic Plan





Iowa Department of Corrections—Central Office





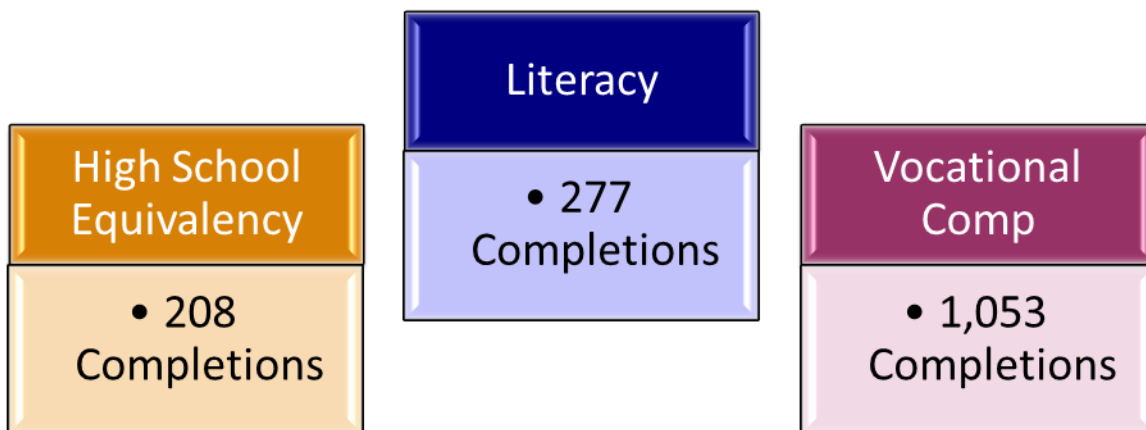
Agency Accomplishments

Iowa Corrections—Educational Opportunities

The mission of the Correctional Education is to provide incarcerated individuals with educational opportunities and skills necessary to function successfully and responsibly in society upon their release.

Although times have been challenging, correctional education staff are slowly getting back into the routine of school. Teachers and students have expressed how they missed face-to-face interactions in the classroom. Students have expressed “all the other methods are ok, but face to-face is what we enjoy the most”. Educational program completions are increasing as we focus on improved methods/strategies in direct instruction and infusion of some virtual.

The pandemic greatly impacted the number of successful High School Equivalency Completions, Literacy progress and vocational training. The chart below reflects the progress made since implementing “return to learn plans” at IDOC facilities.



Post-Secondary Educational Offerings in Correctional Facilities

As part of the Second Chance Pell Approved Experimental Sites Initiative, the DOC continues to partnership with ICCC and SCC. ICCC offers AA degree programs at six of IDOC nine facilities. Courses are offered at Newton Correctional Facility, Iowa Correctional and Classification Center, Fort Dodge Correctional Facility, North Central Correctional Facility Clarinda Correctional Facility and Iowa Correctional Institution for Women. SCC is offering their first post-secondary program at ISP/MPCF starting October. Grinnell College and the University of Iowa to offer credit-bearing college courses.

The establishment of Iowa Consortium for Higher Education in Prison (ICHEP), a collaboration between the five community colleges, the Department of Education, IBOR and the DOC. Recommendations provided to the DOC identifying college/university that would be a good fit in providing post-secondary programs. Final approval will be provided by the DOC.



Agency Accomplishments

Iowa Corrections—Apprenticeship Program



334 Graduates



275 Active Apprentices

30 Registered Occupations



Cook, Baker, Housekeeper



Material Coordinator, Maintenance Repairer, Landscape Management



Peer Support Specialist, Office Manager/Administrative Services, Barber



Computer Operator, IT Specialist, Job Printer, Mechanical Drafter



Cabinet Maker, Carpenter, Painter



Welder, Electrician, Plumber, Home Performance Laborer, Heating and Air Conditioner Installer



Metal Fabricator, Power Coating Technician, Refrigeration/AC Tech, Injection Molding Machinist Operator



Audio Video Repairer, Screen Printer, Sewing Machine Repairer, Industrial Sewing Machine Operator, Upholsterer

Accomplishments



29% Increase in graduated apprentices within the last four years



In-person, online, and self-study training options available



81.2% of apprentices that completed their apprenticeship successfully reenter the community and did not recidivate



Agency Accomplishments

Iowa Corrections—Learning Center

1. IDOC employees successfully completed 82,000 eLearning and classroom modules after our successful implementation of the IDOC's Learning Management System.

2. The CQI specialists created and implemented an online Case Review training

Case Review Training

1. Case Review training is provided through the LMS for any staff that will be conducting reviews of Probation/ Parole Officers overall case management. Seven criteria areas are covered in the training in which they will learn how to appropriately score and provide feedback to case managers utilizing the CQI database and the Case Review manual. The 7 criteria areas in which case managers will be reviewed and scored on include: timeliness, needs identification, conditions, case management, case planning, CCP, and documentation.
2. Participants will be required to conduct a final mock case review at the end of training to ensure proficiency and will receive coaching and feedback from Central Office CQI staff. Participants may be required to complete additional case reviews in order to receive more coaching and feedback before being deemed proficient.
3. The goal is anyone who is asked to conduct case reviews can begin conducting reviews after completing the training, some just may have Central Office CQI staff reviewing live cases along with them until they reach proficiency. Some may just take the training, complete the final review within the training, receive our feedback, and have no additional steps but to begin reviewing on their own. Central office CQI staff will periodically audit auditors on their Case Reviews to ensure proficiency.
4. The Learning Center is implementing a new New Employee Training curriculum that uses a hybrid, or two phase approach. The first phase is an eLearning with the fundamental information that all IDOC employees need to know. This is done via eLearning in order to promote consistency and fidelity. The second phase is practically applying the skills learned. This provides the instructors and Training Specialists the opportunity to target the instruction to the employee role and specific skill. This also allows for measuring progress, growth, and if necessary, remediation.

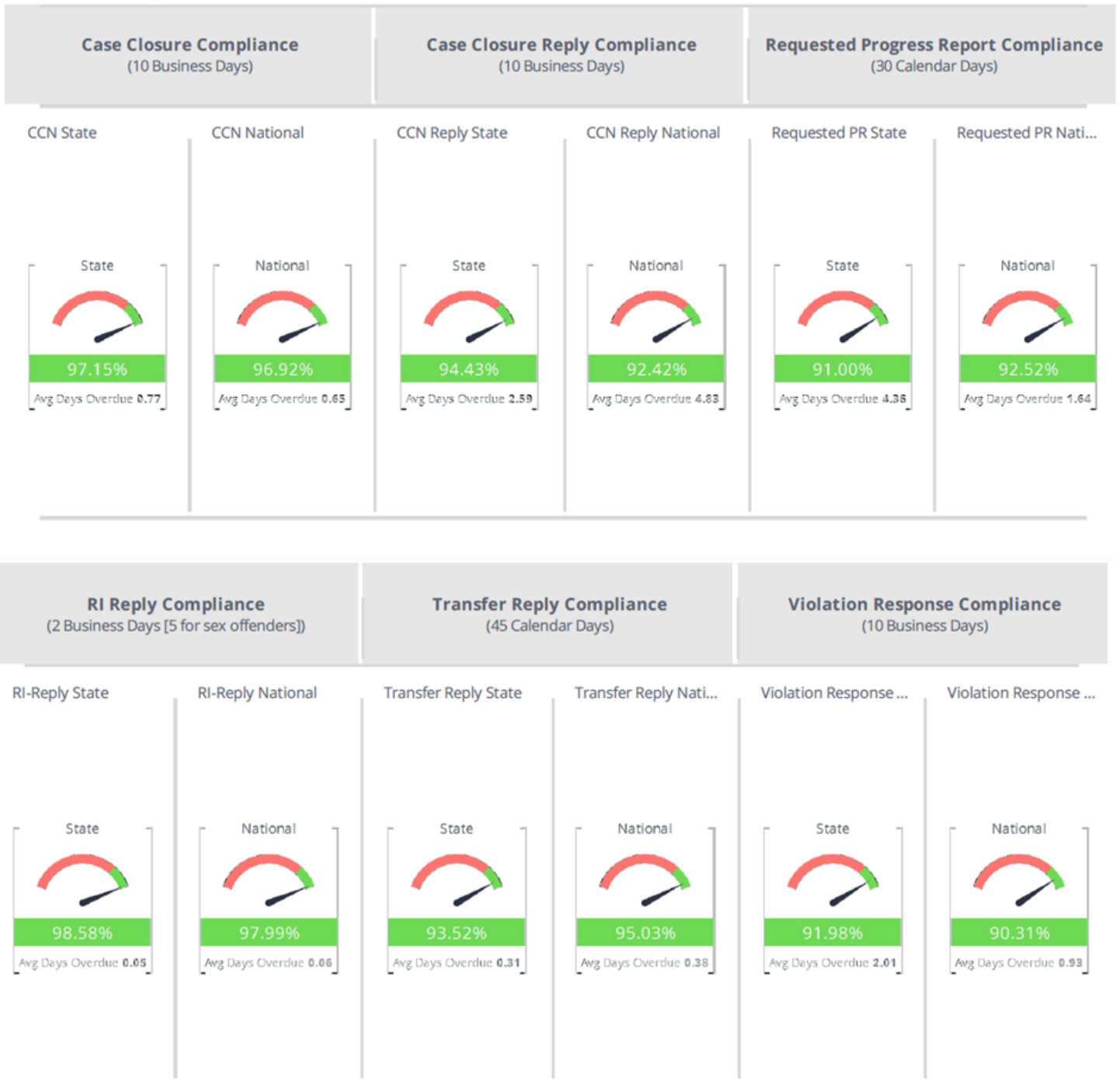




Agency Accomplishments

Iowa Corrections—Interstate Compact

In FY2021, Iowa was in compliance with the threshold standards imposed by the Interstate Commission of the Adult Offender Supervision, scoring above the national average on several parameters.



Community Based Corrections



First District



Second District



Third District



Fourth District



Fifth District



Sixth District



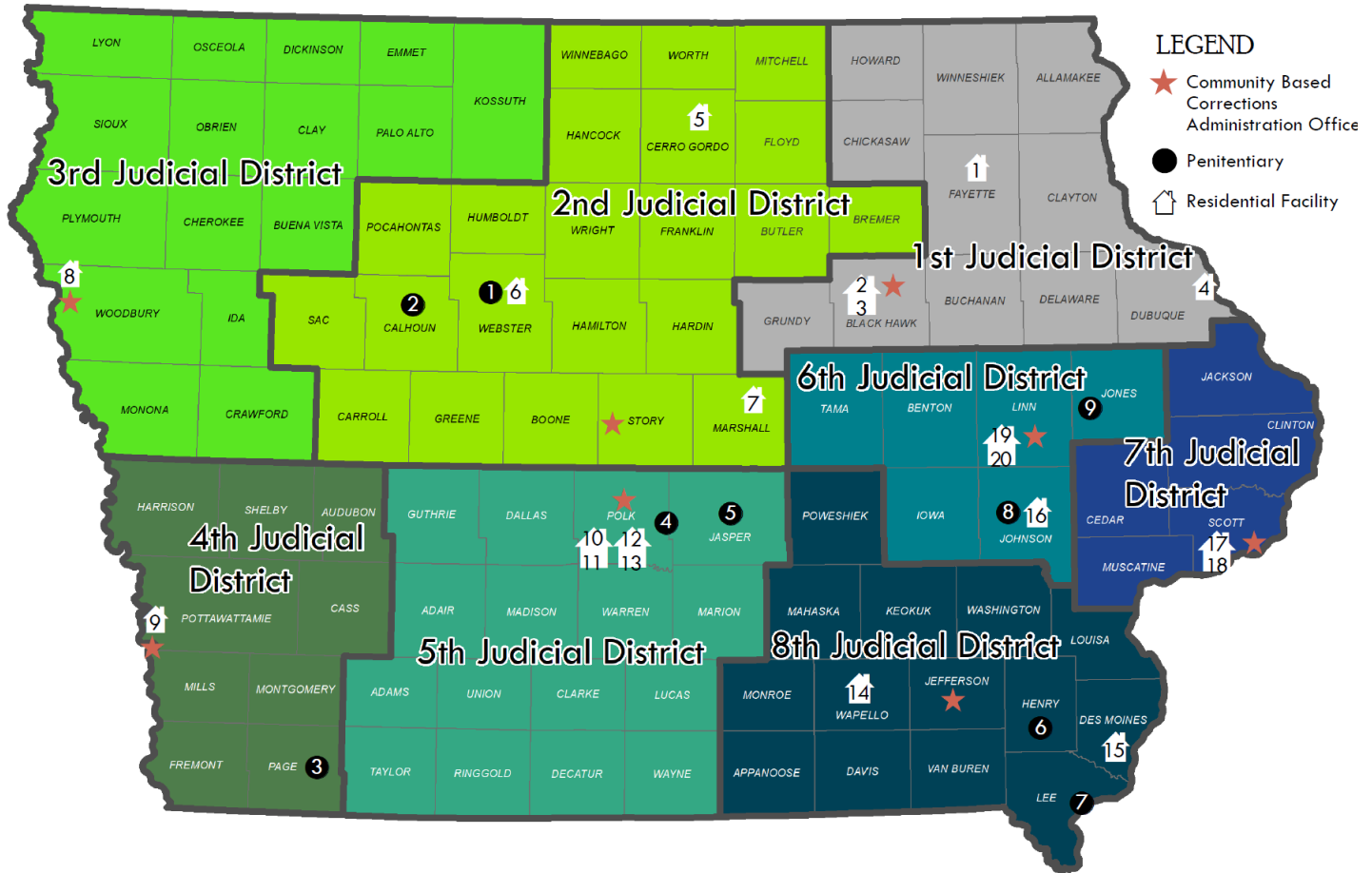
Seventh District



Eighth District

Iowa Department of Corrections

Community-Based Corrections Administration Offices and Counties Served



First Judicial District
Ken Kolthoff, Director
314 East 6th Street
Waterloo, IA 50704
319/236-9626
Fax: 319/291-3947

Second Judicial District
Amanda Milligan, Director
111 N. Sherman
Ames, IA 50010
515/232-1810
Fax: 515/232-9453

Third Judicial District
Maureen Hansen, Director
515 Water Street
Sioux City, IA 51103
712/252-0590
Fax: 712/252-0634

Fourth Judicial District
Kip Shanks, Director
801 South 10th Street
Council Bluffs, IA 51501
712/325-4943

Fifth Judicial District
Jerry Evans, Director
1000 Washington Avenue
Des Moines, IA 50314
515/242-6611
Fax: 515/242-6656

Sixth Judicial District
Bruce Vander Sanden, Director
951 29th Avenue SW
Cedar Rapids, IA 52404
319/398-3675
Fax: 319/398-3684

Seventh Judicial District
Waylyn McCulloh, Director
605 Main Street
Davenport, IA 52803
563/322-7986
Fax: 563/324-2063

Eighth Judicial District
Dan Fell, Director
1805 West Jefferson
Fairfield, IA 52556
641/472-4242
Fax: 641/472-9966

- 1 West Union Resi Facility
- 2 Waterloo Works Release Facility
- 3 Waterloo Women's Center for Change
- 4 Dubuque Residential Facility
- 5 Beje Clark Resi Facility (Mason City)
- 6 Fort Dodge Resi Correctional Center
- 7 Marshalltown Resi Correctional Center

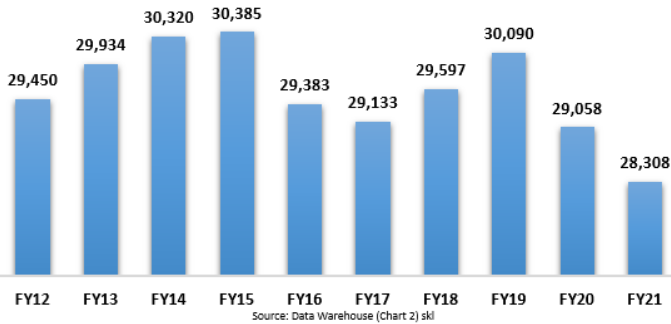
- 8 Sioux City Resi Treatment Facility
- 9 Council Bluffs Resi Correctional Facility x2
- 10 Des Moines Work Release Center
- 11 Fort Des Moines OWI
- 12 Fort Des Moines Resi Facility
- 13 Des Moines Women's Facility
- 14 Ottumwa Resi Facility

- 15 Burlington Resi Facility
- 16 Hope House (Coralville)
- 17 Davenport Resi Facility
- 18 Davenport Work Release/OWI Center
- 19 Gerald R. Hinzman/Larry Nelson Centers (Cedar Rapids)
- 20 ANCHOR Center (Cedar Rapids)

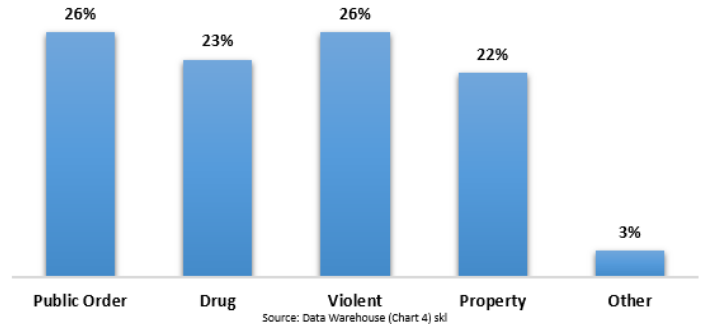


CBC Population

Community Based Corrections
Population - End of Fiscal Year



Offense Types FY2021
Community Based Offenders Served



FIELD SERVICES 7/1/2020 thru 6/30/2021

Supervision Status	Active at Start	New Admits	Closures	Active at End	Offenders Served
CCUSO Release with Supervision 229A.9A	5	3	3	4	8
Interstate Compact Parole	354	187	203	345	541
Interstate Compact Probation	1,153	615	747	1,055	1,768
No Correctional Supervision Status	5	66	71	10	71
OWI Continuum	3	43	2	14	46
Parole	4,604	1,986	3,011	4,339	6,590
Pretrial Release With Supervision	2,513	6,760	6,728	2,720	9,273
Probation	18,324	13,072	12,239	26,396	31,396
Special Sentence	1,247	236	263	1,429	1,483
Statewide Total	28,208	22,968	23,267	36,312	51,176

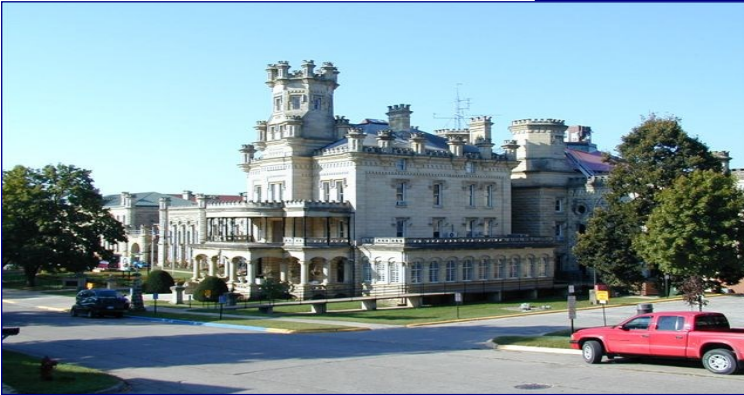
Source: Data Warehouse (Chart 3A) skl

RESIDENTIAL 7/1/2020 thru 6/30/2021

Supervision Status	Active at Start	New Admits	Closures	Active at End	Offenders Served
CCUSO Release with Supervision 229A.9A		1	1		1
Federal	152	507	446	157	659
Interstate Compact Parole		4	3	4	4
Interstate Compact Probation	3	18	5	1	21
Jail (Designated Site)		24	21	1	24
OWI Continuum	35	138	120	68	173
Parole	47	272	158	75	319
Pretrial Release With Supervision		10	1	1	10
Probation	279	1,172	600	397	1,451
Special Sentence	62	200	128	76	262
Work Release	320	1,021	754	373	1,341
Statewide Total	898	3,367	2,237	1,153	4,265

Source: Data Warehouse (Chart 3B) skl

Iowa Prisons



Anamosa State Penitentiary, Anamosa



Clarinda Correctional Facility, Clarinda



Iowa Correctional Institution for Women, Mitchellville



Iowa State Penitentiary, Fort Madison



Fort Dodge Correctional Facility, Fort Dodge



Newton Correctional Facility, Newton



Mt Pleasant Correctional Facility, Mt. Pleasant



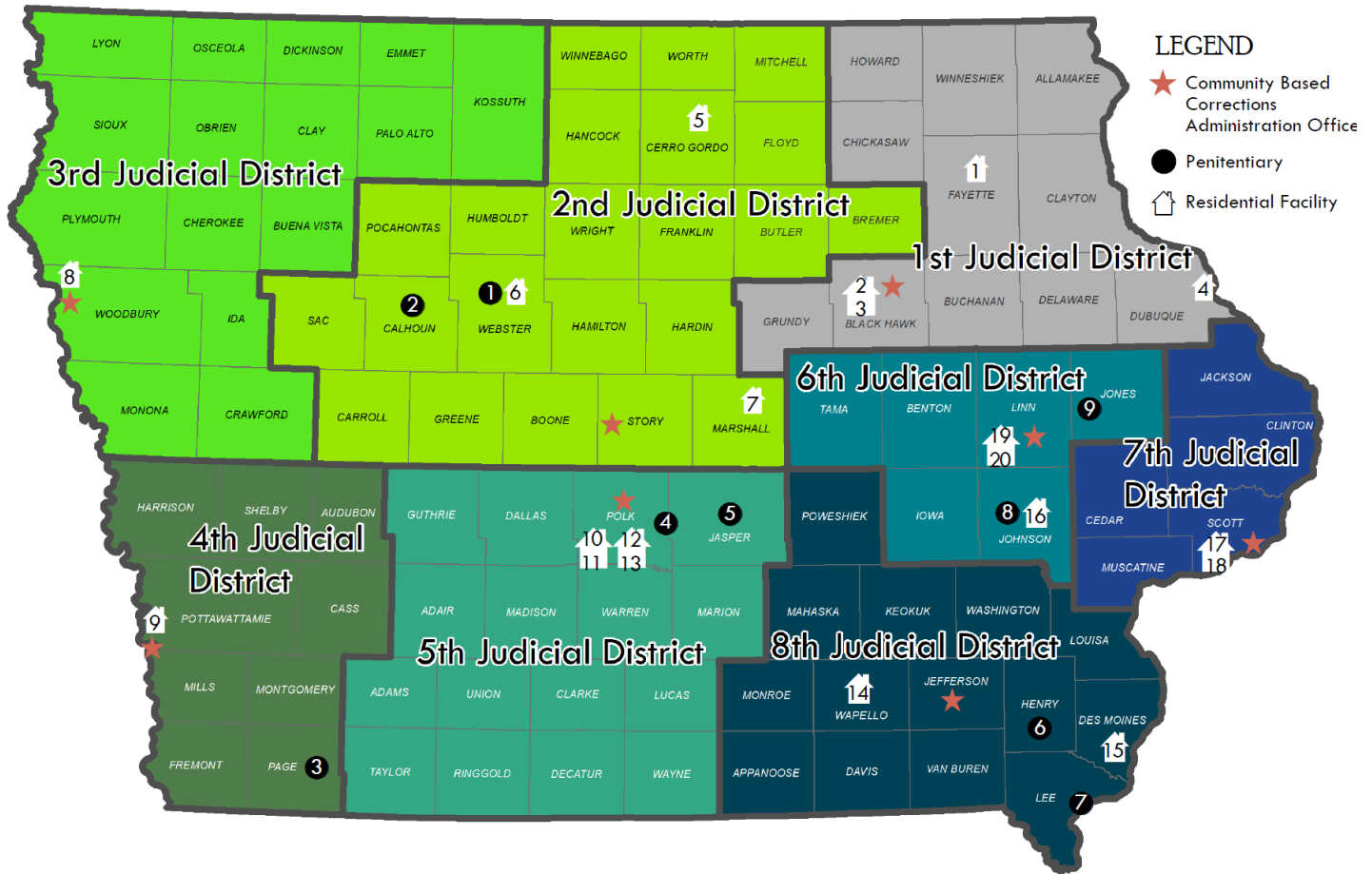
North Central Correctional Facility, Rockwell City



Iowa Medical and Classification Center, Coralville

Iowa Department of Corrections

Iowa Prisons

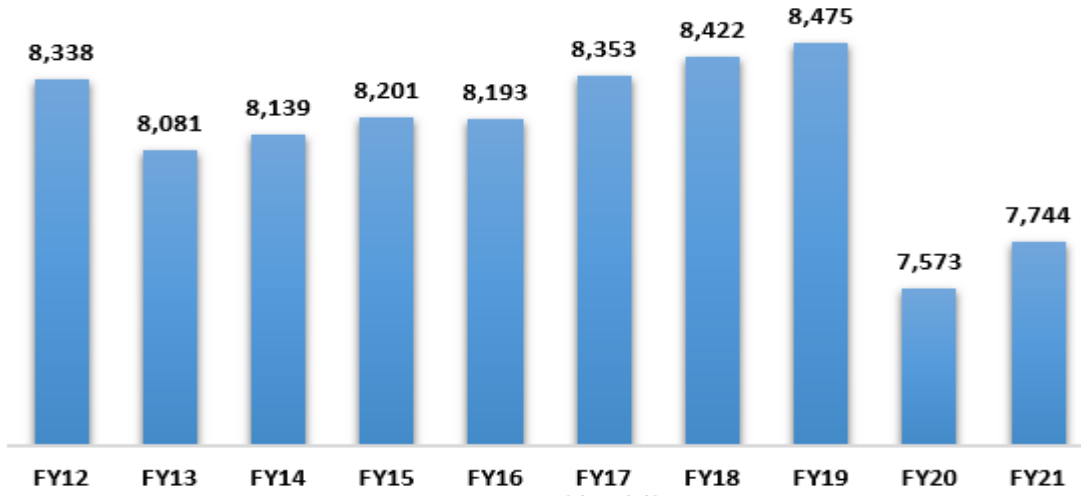


- | | | |
|---|---|--|
| 1 Fort Dodge Correctional Facility
Warden Bob Johnson
1550 L Street
Fort Dodge, Iowa 50501
(515) 574-4700 | 2 North Central Correctional Facility
Warden Bob Johnson
313 Lanedale
Rockwell City, Iowa 50579-7464
(712) 297-7521 | 3 Clarinda Correctional Facility
Warden Steve Weis
2000 North 16th Street
Clarinda, Iowa 51632
(712) 542-5634 |
| 4 Iowa Correctional Institution for Women
Warden Sheryl Dahm
300 Elm Avenue SW
Mitchellville, Iowa 50169
(515) 967-4236 | 5 Newton Correctional Facility
Warden Kris Weitzell
307 South 60th Avenue, W
Newton, Iowa 50208
(641) 792-7552 | 5 Mount Pleasant Correctional Facility
Warden Jay Nelson
1200 East Washington Street
Mount Pleasant, Iowa 52641
(319) 385-9511 |
| 7 Iowa State Penitentiary
Warden Randy Gibbs
3 John Bennett Drive
Fort Madison, Iowa 52627
(319) 372-5432 | 8 Iowa Medical and Classification Center
Warden Mike Heinricy
2700 Coral Ridge Avenue
Coralville, Iowa 52241
(319) 626-2391 | 9 Anamosa State Penitentiary
Warden Jeremy Larson
406 North High Street
Anamosa, Iowa 52205
(319) 462-3504 |

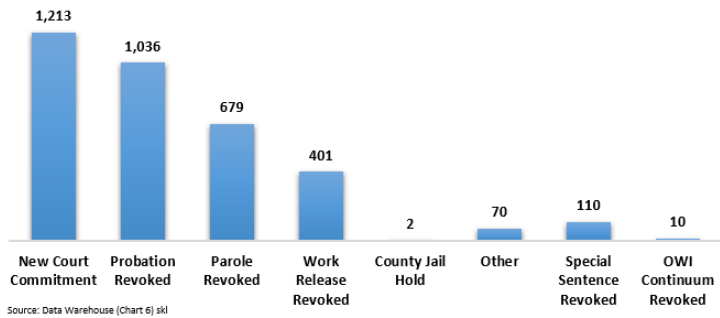


Prison Population

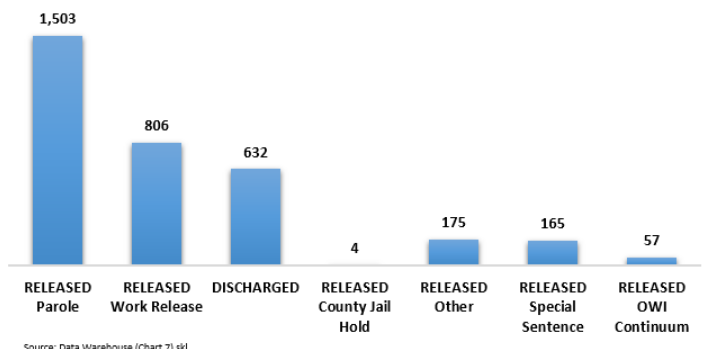
Prison Population - End of Fiscal Year



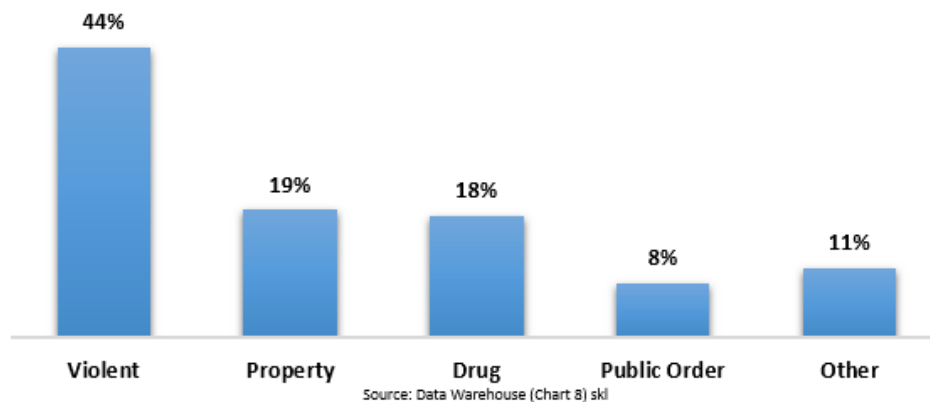
Types of Prison Admissions FY2021



Types of Prison Releases FY2021



Offense Types FY2021 Prison Offenders Served





Performance Measure Report

Performance Measure	Performance		Performance Comments and Analysis																				
	Target	Actual																					
Core Function: Offender supervision, custody and treatment																							
49-001 Number of Escapes (Prison and Residential)	0	Prison - 0 CBC - 776	<p>Prison Escapes</p> <table border="1"> <tr><th>Fiscal Year</th><th>Escapes</th></tr> <tr><td>FY18</td><td>0</td></tr> <tr><td>FY19</td><td>2</td></tr> <tr><td>FY20</td><td>1</td></tr> <tr><td>FY21</td><td>0</td></tr> </table> <p>Residential Escapes</p> <table border="1"> <tr><th>Fiscal Year</th><th>Escapes</th></tr> <tr><td>FY18</td><td>860</td></tr> <tr><td>FY19</td><td>969</td></tr> <tr><td>FY20</td><td>910</td></tr> <tr><td>FY21</td><td>776</td></tr> </table>	Fiscal Year	Escapes	FY18	0	FY19	2	FY20	1	FY21	0	Fiscal Year	Escapes	FY18	860	FY19	969	FY20	910	FY21	776
Fiscal Year	Escapes																						
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FY18	860																						
FY19	969																						
FY20	910																						
FY21	776																						
49-005 Number of Disturbances or Serious Incidents by Inmates (Prison)	0	1	<p>Disturbances or Serious Incident by Inmates</p> <table border="1"> <tr><th>Fiscal Year</th><th>Incidents</th></tr> <tr><td>FY18</td><td>3</td></tr> <tr><td>FY19</td><td>2</td></tr> <tr><td>FY20</td><td>3</td></tr> <tr><td>FY21</td><td>1</td></tr> </table>	Fiscal Year	Incidents	FY18	3	FY19	2	FY20	3	FY21	1										
Fiscal Year	Incidents																						
FY18	3																						
FY19	2																						
FY20	3																						
FY21	1																						
49-006 Number of Serious Injuries Caused to Staff (Prison)	0	12	<p>Serious Injuries Caused to Staff</p> <table border="1"> <tr><th>Fiscal Year</th><th>Injuries</th></tr> <tr><td>FY18</td><td>7</td></tr> <tr><td>FY19</td><td>7</td></tr> <tr><td>FY20</td><td>13</td></tr> <tr><td>FY21</td><td>12</td></tr> </table>	Fiscal Year	Injuries	FY18	7	FY19	7	FY20	13	FY21	12										
Fiscal Year	Injuries																						
FY18	7																						
FY19	7																						
FY20	13																						
FY21	12																						



Performance Measure Report

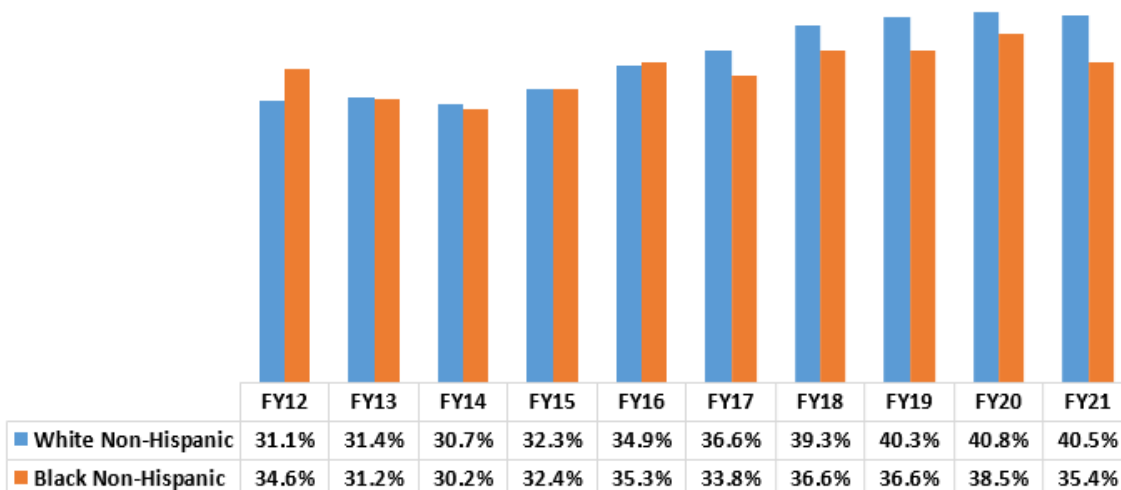
Performance Measure	Performance		Performance Comments and Analysis										
	Target	Actual											
Service, Product or Activity: Risk Identification													
49100-002 Percent Inmates with Custody Assignment Per Risk Assessment Score (Prison)	90%	92%	Target exceeded by 2% in FY2021.										
49100-004 Percent Iowa Risk Assessments Completed	100%	100%	Target met in FY2021.										
49100-005 Percent Required custody Classifications (Prison)	100%	100%	No change from FY2020.										
Service, Product or Activity: Risk Management													
49200-001 Recommendation Rate for Release by Parole Board (Prison)	85%	56%	<p>Recommendation Rate for Release by Parole Board</p> <table border="1"> <caption>Recommendation Rate for Release by Parole Board</caption> <thead> <tr> <th>Fiscal Year</th> <th>Rate (%)</th> </tr> </thead> <tbody> <tr> <td>FY18</td> <td>53%</td> </tr> <tr> <td>FY19</td> <td>54%</td> </tr> <tr> <td>FY20</td> <td>57%</td> </tr> <tr> <td>FY21</td> <td>56%</td> </tr> </tbody> </table>	Fiscal Year	Rate (%)	FY18	53%	FY19	54%	FY20	57%	FY21	56%
Fiscal Year	Rate (%)												
FY18	53%												
FY19	54%												
FY20	57%												
FY21	56%												
49200-002 Percent Technical Violations Resulting in Revocation to Prison	10%	20%	<p>Revocations to Prison for Technical Violations</p> <table border="1"> <caption>Revocations to Prison for Technical Violations</caption> <thead> <tr> <th>Fiscal Year</th> <th>Rate (%)</th> </tr> </thead> <tbody> <tr> <td>FY18</td> <td>16%</td> </tr> <tr> <td>FY19</td> <td>16%</td> </tr> <tr> <td>FY20</td> <td>27%</td> </tr> <tr> <td>FY21</td> <td>20%</td> </tr> </tbody> </table>	Fiscal Year	Rate (%)	FY18	16%	FY19	16%	FY20	27%	FY21	20%
Fiscal Year	Rate (%)												
FY18	16%												
FY19	16%												
FY20	27%												
FY21	20%												



Performance Measure Report

Performance Measure	Performance		Performance Comments and Analysis
	Target	Actual	
Service, Product or Activity: Basic Life Care			
49400-002 Number of Life Safety Violations (Prison, CBC and Central Office)	0	575	Safety violations were down from 802 in FY2020.
49400-003 Number of Serious Injuries, Accidents or Offender Deaths (Prison, CBC, Central Office and IPI)	0	309	Incidents remained about the same with 307 in FY2020 and 309 in FY2021.
49400-004 Number of Occurrences of Sexual Violence (Prison, CBC and Central Office)	0	48	Cases declined this fiscal year compared to 55 in FY2020.
Service, Product or Activity: Pharmaceuticals, Overtime and Leased Farmland			
67200-001 Percent of Dollars Spent on Institution Pharmaceuticals (Prison)	3%	11%	Pharmaceuticals dramatically increased from 3% over the past 3 fiscal years.
67200-003 Correctional officer Overtime as a percentage of Payroll	2%	3%	Overtime ticked up 1% from FY2020.

Return Rate to Prison by Race



Source: IDOC (Recid) vv



Performance Measure Report

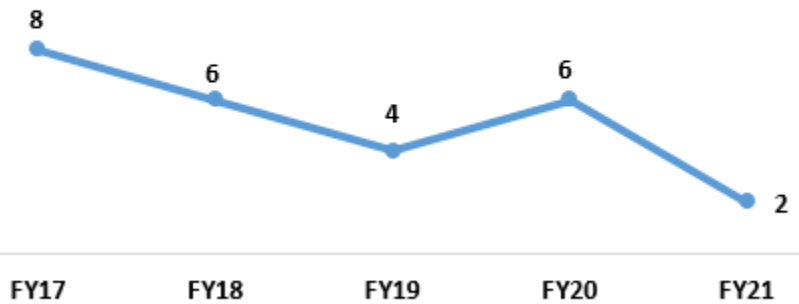
Implementing the Prison Rape Elimination Act (PREA)

Why this is important: It's about offender safety. PREA is a federal statute focused on sexual assault and victimization in juvenile facilities, prisons, jails, lockups, and other detention facilities. The goal of PREA is to prevent, detect, and respond to sexual abuser in detention and correctional facilities.

What we're doing about this:

All DOC institutions have completed and passed PREA audits that ensure compliance with PREA Standards. Audits are conducted every three years to ensure compliance.

Prison: Substantiated Offender on Offender Non-Consensual Sexual Acts



Source: IDOC (Chart 9) skl

Prison Suicides

Why this is important: It's about providing basic life care for individuals in custody, and intervening effectively to prevent them from harming themselves.

What we're doing about this:

Staff training is key to prevention. Recognizing the warning signs of someone contemplating suicide, and intervening in an emergency situation are essential. Staff training goes beyond these basics to include recognition of and effective communication with individuals who have mental health issues. Regular screening for mental illness and provision of appropriate levels of care

ranging from acute units to integration within the general inmate population is also important in reducing suicide incidents.

Prison Suicides



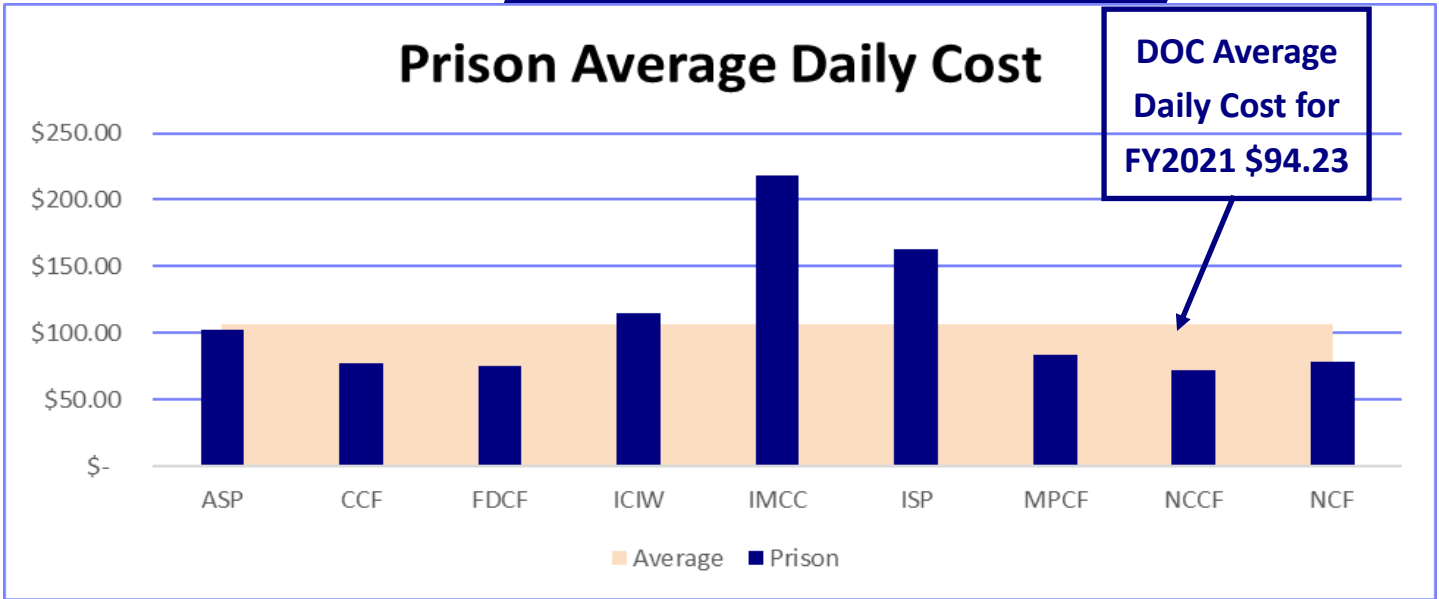
Source: CIR (Chart 10) skl

	Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual + Encumbrances	Percent (Actual of Budget)
FTE Positions					
Correctional Officer	1,384.00				
Total Staffing	2,574.08				
Resources Available					
04B Balance Brought Forward	633,465	120,573.00	-	120,573.00	0.19
05A Appropriation	292,848,460	292,848,460.00	-	292,848,460.00	1.00
-- Appropriation Transfer	-	-	-	-	--
-- Legislative Adjustments	623,770	623,770.00	-	623,770.00	1.00
201R Federal Support	34	-	-	-	-
202R Local Governments	287,000	221,603.11	-	221,603.11	0.77
204R Intra State Receipts	971,504	15,147,761.58	-	15,147,761.58	15.59
205R Reimbursement from Other Agencies	3,306	727,189.92	-	727,189.92	219.96
234R Transfers - Other Agencies	462,147	1,022,372.61	-	1,022,372.61	2.21
301R Interest	-	-	-	-	--
401R Fees, Licenses & Permits	607,640	746,698.36	-	746,698.36	1.23
501R Refunds & Reimbursements	864,069	1,082,545.85	-	1,082,545.85	1.25
602R Sale of Equipment & Salvage	105	430.96	-	430.96	4.10
603R Rents & Leases	631,286	311,526.78	-	311,526.78	0.49
604R Agricultural Sales	-	-	-	-	--
606R Other Sales & Services	-	-	-	-	--
701R Unearned Receipts	-	-	-	-	--
Total Resources Available	297,932,786	312,852,932.17		312,852,932.17	1.05
Funds Expended and Encumbered					
101 Personal Services-Salaries	236,553,630	230,757,043.13	-	230,757,043.13	0.98
202 Personal Travel (In State)	131,463	267,605.38	-	267,605.38	2.04
203 State Vehicle Operation	559,659	625,628.11	1,305.00	626,933.11	1.12
204 Depreciation	10,279	6,391.00	-	6,391.00	0.62
205 Personal Travel (Out of State)	18,374	4,631.15	-	4,631.15	0.25
301 Office Supplies	199,919	254,959.54	2,490.09	257,449.63	1.29
302 Facility Maintenance Supplies	1,668,805	1,602,313.20	141,106.45	1,743,419.65	1.05
303 Equipment Maintenance Supplies	800,151	704,066.03	326,402.93	1,030,468.96	1.29
304 Professional & Scientific Supplies	936,079	1,421,958.15	50,592.26	1,472,550.41	1.57
306 Housing & Subsistence Supplies	2,188,973	2,537,069.07	122,030.36	2,659,099.43	1.21
307 Ag,Conservation & Horticulture Supply	53,576	77,359.06	429.60	77,788.66	1.45
308 Other Supplies	556,447	756,847.48	150,700.83	907,548.31	1.63
309 Printing & Binding	6	8.24	-	8.24	1.37
310 Drugs & Biologials	8,347,927	8,652,039.59	-	8,652,039.59	1.04
311 Food	10,353,987	10,956,419.07	146,443.78	11,102,862.85	1.07
312 Uniforms & Related Items	1,385,027	1,242,302.99	99,932.69	1,342,235.68	0.97
313 Postage	47,439	82,268.18	-	82,268.18	1.73
401 Communications	641,331	629,440.28	7,531.91	636,972.19	0.98
402 Rentals	98,612	53,193.32	1,762.96	54,956.28	0.56
403 Utilities	9,219,301	8,705,246.96	258,232.47	8,963,479.43	0.97
405 Professional & Scientific Services	2,069,254	2,935,871.05	116,449.15	3,052,320.20	1.45
406 Outside Services	6,298,095	7,793,839.49	29,473.14	7,823,312.63	1.24
407 Intra-State Transfers	256	4,731,367.28	-	4,731,367.28	18,481.90
408 Advertising & Publicity	21,852	29,490.30	173.08	29,663.38	1.36
409 Outside Repairs/Service	1,144,768	1,318,234.29	306,401.40	1,624,635.69	1.42
412 Auditor of State Reimbursements	-	-	-	-	--
414 Reimbursement to Other Agencies	6,200,246	5,608,834.26	31,505.00	5,640,339.26	0.91
416 ITD Reimbursements	2,135,400	1,642,979.28	7,040.00	1,650,019.28	0.77
417 Worker's Compensation	-	-	-	-	--
418 IT Outside Services	1,992,906	1,931,324.25	-	1,931,324.25	0.97
419 Intra Agency Reimbursement	-	-	-	-	--
433 Transfers - Auditor of State	1,200	753.75	-	753.75	0.63
434 Transfers - Other Agencies Services	23,837	74,176.88	-	74,176.88	3.11
501 Equipment	74,784	820,648.19	180,681.75	1,001,329.94	13.39
502 Office Equipment	14,897	17,000.34	-	17,000.34	1.14
503 Equipment - Non-Inventory	177,485	903,768.25	240,311.08	1,144,079.33	6.45
510 IT Equipment	789,539	2,013,925.55	66,225.73	2,080,151.28	2.63
601 Claims	501	464.13	-	464.13	0.93
602 Other Expense & Obligations	2,827,086	2,551,022.85	36,981.48	2,588,004.33	0.92
604 Securities	6,233	(23,942.20)	-	(23,942.20)	(3.84)
701 Licenses	11,636	9,777.60	-	9,777.60	0.84
702 Fees	116	120.00	-	120.00	1.03
705 Refunds-Other	-	-	-	-	--
901 Capitals	100	-	-	-	-
-- Balance Carry Forward	351,610	-	-	-	-
-- Reversion	-	-	-	-	--
Total Expenses and Encumbrances	297,932,786	301,690,445.47	2,324,203.14	304,014,648.61	1.02
Ending Balance				8,838,283.56	

Iowa Department of Corrections
 FY 2021
 Financial Status Reports
 Through the Period Ending June 2021

	Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual + Encumbrances	Percent (Actual of Budget)
FTE Positions					
Correctional Officer	1,384.00				
Total Staffing	2,536.08				
Resources Available					
04B Balance Brought Forward	118,181	118,181.00	-	118,181.00	1.00
05A Appropriation	281,411,915	281,411,915.00	-	281,411,915.00	1.00
-- Appropriation Transfer	-	-	-	-	--
-- Legislative Adjustments	-	-	-	-	--
201R Federal Support	33	-	-	-	-
202R Local Governments	227,000	221,603.11	-	221,603.11	0.98
204R Intra State Receipts	262,984	9,860,533.16	-	9,860,533.16	37.49
205R Reimbursement from Other Agencies	3,306	-	-	-	-
234R Transfers - Other Agencies	454,996	953,429.39	-	953,429.39	2.10
301R Interest	-	-	-	-	--
401R Fees, Licenses & Permits	607,640	746,698.36	-	746,698.36	1.23
501R Refunds & Reimbursements	819,069	1,061,945.85	-	1,061,945.85	1.30
602R Sale of Equipment & Salvage	105	430.96	-	430.96	4.10
603R Rents & Leases	631,286	311,526.78	-	311,526.78	0.49
604R Agricultural Sales	-	-	-	-	--
606R Other Sales & Services	-	-	-	-	--
701R Unearned Receipts	-	-	-	-	--
Total Resources Available	294,536,515	294,686,263.61		294,686,263.61	1.04
Funds Expended and Encumbered					
101 Personal Services-Salaries	231,415,250	225,901,252.73	-	225,901,252.73	0.98
202 Personal Travel (In State)	116,718	264,864.79	-	264,864.79	2.27
203 State Vehicle Operation	540,111	609,738.63	1,305.00	611,043.63	1.13
204 Depreciation	10,278	6,391.00	-	6,391.00	0.62
205 Personal Travel (Out of State)	5,868	4,631.15	-	4,631.15	0.79
301 Office Supplies	180,876	238,919.33	2,490.09	241,409.42	1.33
302 Facility Maintenance Supplies	1,658,805	1,602,313.20	141,106.45	1,743,419.65	1.05
303 Equipment Maintenance Supplies	800,151	704,066.03	326,402.93	1,030,468.96	1.29
304 Professional & Scientific Supplies	998,079	1,421,958.15	50,592.26	1,472,550.41	1.57
306 Housing & Subsistence Supplies	2,188,973	2,537,069.07	122,030.36	2,659,099.43	1.21
307 Ag Conservation & Horticulture Supply	53,576	77,369.06	429.60	77,798.66	1.45
308 Other Supplies	556,080	748,085.44	150,700.83	898,786.27	1.62
309 Printing & Binding	5	-	-	-	-
310 Drugs & Biologicals	8,347,927	8,652,039.59	-	8,652,039.59	1.04
311 Food	10,353,987	10,956,419.07	148,443.78	11,102,862.85	1.07
312 Uniforms & Related Items	1,385,027	1,242,302.99	99,932.69	1,342,235.68	0.97
313 Postage	44,411	78,505.68	-	78,505.68	1.77
401 Communications	520,103	515,093.00	7,531.91	522,624.91	1.00
402 Rentals	98,612	53,193.32	1,762.96	54,956.28	0.56
403 Utilities	9,219,301	8,705,248.96	258,232.47	8,963,479.43	0.97
405 Professional & Scientific Services	2,089,253	2,895,855.65	116,449.15	3,012,304.80	1.44
406 Outside Services	1,478,728	2,714,199.10	29,473.14	2,743,672.24	1.86
407 Intra-State Transfers	256	-	-	-	-
408 Advertising & Publicity	21,852	29,490.30	173.08	29,663.38	1.36
409 Outside Repairs/Service	1,144,768	1,318,234.29	306,401.40	1,624,635.69	1.42
412 Auditor of State Reimbursements	-	-	-	-	--
414 Reimbursement to Other Agencies	5,410,720	5,458,026.39	31,505.00	5,489,531.39	1.01
416 ITD Reimbursements	2,096,126	1,612,816.50	7,040.00	1,619,856.50	0.77
417 Worker's Compensation	-	-	-	-	--
418 IT Outside Services	205	-	-	-	-
419 Intra Agency Reimbursement	-	-	-	-	--
433 Transfers - Auditor of State	-	-	-	-	--
434 Transfers - Other Agencies Services	3,840	60,280.47	-	60,280.47	15.70
501 Equipment	74,784	820,648.19	180,681.75	1,001,329.94	13.39
502 Office Equipment	14,895	17,000.34	-	17,000.34	1.14
503 Equipment - Non-Inventory	177,485	902,079.32	240,311.08	1,142,390.40	6.44
510 IT Equipment	752,102	1,206,432.84	66,225.73	1,272,658.57	1.69
601 Claims	501	464.13	-	464.13	0.93
602 Other Expense & Obligations	2,827,011	2,550,907.10	36,981.48	2,587,888.58	0.92
604 Securities	-	-	-	-	--
701 Licenses	11,636	9,777.60	-	9,777.60	0.84
702 Fees	115	120.00	-	120.00	1.04
705 Refunds-Other	-	-	-	-	--
901 Capitals	100	-	-	-	-
-- Balance Carry Forward	-	-	-	-	--
-- Reversion	-	-	-	-	--
Total Expenses and Encumbrances	294,536,515	283,915,781.41	2,324,203.14	286,239,984.55	1.01
Ending Balance				8,446,279.06	

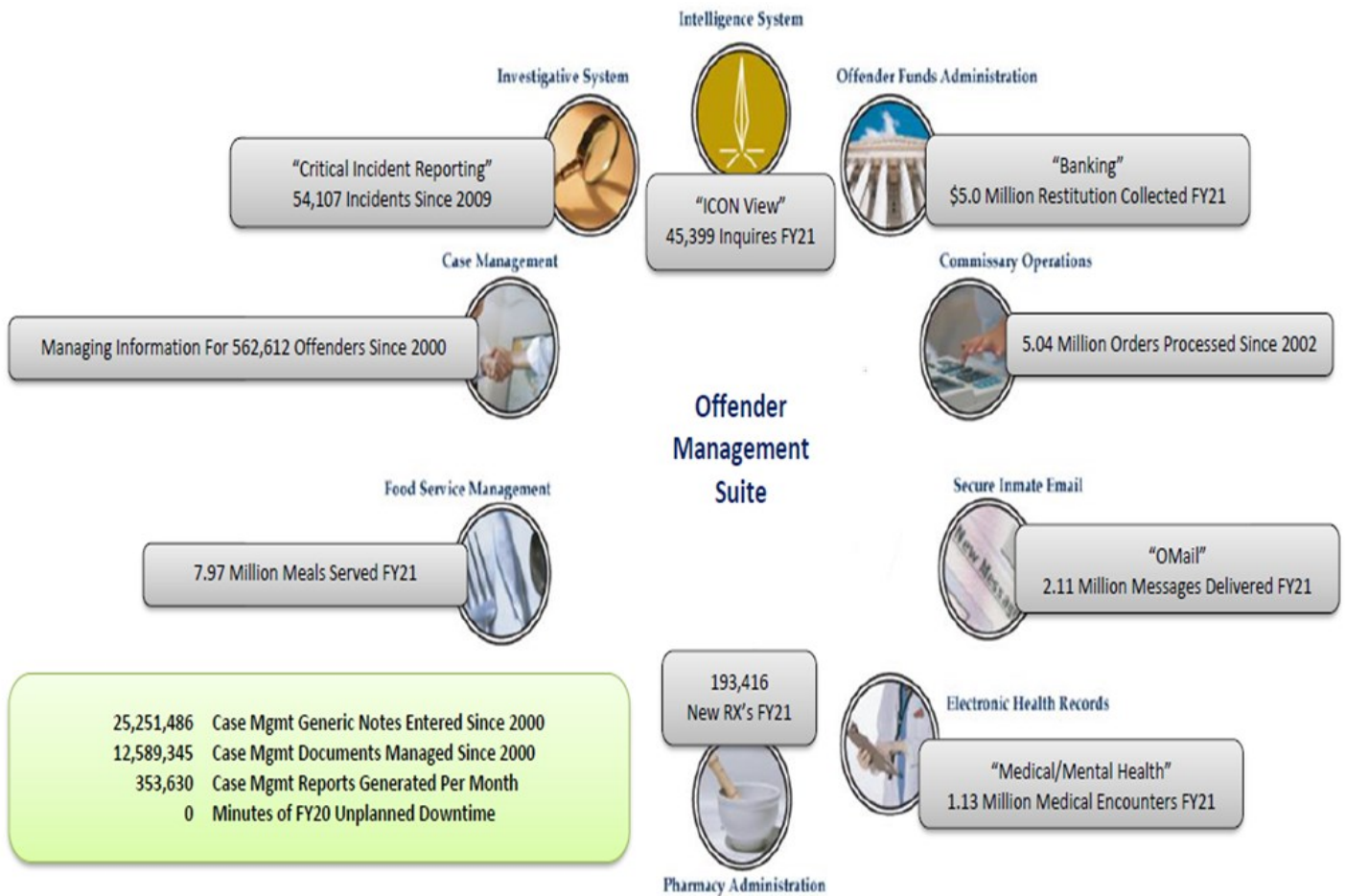
	Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual Revenues and Expenditures	Percent (Actual of Budget)
FTE Positions					
Residential Officer	281.82	282.17		282.17	100.12%
Total Staffing	1,087.28	1,087.89		1,087.89	100.04%
Resources Available					
04B Balance Brought Forward	4,604,930.00	5,352,602.00	-	5,352,602.00	116.24%
05A Appropriation	93,756,372.00	93,756,372.00	-	93,756,372.00	100.00%
-- Appropriation Transfer	-	-	-	-	--
-- Legislative Adjustments	-	-	-	-	--
201R Federal Support	656,844.00	915,313.00	-	915,313.00	139.35%
202R Local Governments	870,618.00	870,618.00	-	870,618.00	100.00%
204R Intra State Receipts	4,098,477.00	5,508,648.00	-	5,508,648.00	134.41%
205R Reimbursement from Other Agencies	318,651.00	289,300.00	-	289,300.00	90.79%
234R Transfers - Other Agencies	-	-	-	-	--
301R Interest	45,800.00	30,120.00	-	30,120.00	66.05%
401R Fees, Licenses & Permits	4,368,687.00	5,335,933.00	-	5,335,933.00	122.14%
402R Tuition & Fees	15,000.00	-	-	-	0.00%
501R Refunds & Reimbursements	10,896,710.00	12,031,354.00	-	12,031,354.00	110.41%
602R Sale of Equipment & Salvage	-	62,073.00	-	62,073.00	--
603R Rents & Leases	-	-	-	-	--
604R Agricultural Sales	-	-	-	-	--
606R Other Sales & Services	-	-	-	-	--
704R Other	254,000.00	861,694.00	-	861,694.00	339.25%
Total Resources Available	119,885,887.00	125,014,025.00		125,014,025.00	104.28%
Funds Expended					
101 Personal Services-Salaries	102,723,091.00	100,943,279.00	-	100,943,279.00	98.27%
202 Personal Travel (In State)	258,080.00	131,659.00	-	131,659.00	51.01%
203 State Vehicle Operation	296,570.00	244,955.00	-	244,955.00	82.60%
204 Depreciation	-	-	-	-	--
205 Personal Travel (Out of State)	27,100.00	4,745.00	-	4,745.00	17.51%
301 Office Supplies	292,000.00	232,119.00	-	232,119.00	79.49%
302 Facility Maintenance Supplies	233,343.00	145,353.00	-	145,353.00	62.29%
303 Equipment Maintenance Supplies	-	-	-	-	--
304 Professional & Scientific Supplies	289,148.00	231,187.00	-	231,187.00	79.95%
306 Housing & Subsistence Supplies	336,500.00	342,694.00	-	342,694.00	101.84%
307 Ag Conservation & Horticulture Supply	-	-	-	-	--
308 Other Supplies	154,802.00	166,824.00	-	166,824.00	107.91%
309 Printing & Binding	-	-	-	-	--
310 Drugs & Biologics	-	-	-	-	--
311 Food	1,810,641.00	1,423,486.00	-	1,423,486.00	78.62%
312 Uniforms & Related Items	9,200.00	2,699.00	-	2,699.00	29.34%
313 Postage	-	-	-	-	--
401 Communications	693,692.00	693,488.00	-	693,488.00	99.97%
402 Rentals	718,862.00	709,550.00	-	709,550.00	98.70%
403 Utilities	1,357,539.00	1,268,724.00	-	1,268,724.00	93.46%
405 Professional & Scientific Services	3,013,675.00	2,778,939.00	-	2,778,939.00	92.20%
406 Outside Services	373,849.00	438,820.00	-	438,820.00	117.38%
407 Intra-State Transfers	-	-	-	-	--
408 Advertising & Publicity	3,800.00	7,239.00	-	7,239.00	190.50%
409 Outside Repairs/Service	1,657,173.00	1,629,131.00	-	1,629,131.00	98.31%
412 Auditor of State Reimbursements	900.00	-	-	-	0.00%
414 Reimbursement to Other Agencies	444,822.00	410,386.00	-	410,386.00	92.26%
416 ITS Reimbursements	433,805.00	451,339.00	-	451,339.00	104.04%
417 Worker's Compensation	9,500.00	2,243.00	-	2,243.00	23.61%
418 IT Outside Services	-	-	-	-	--
434 Transfers - Other Agencies Services	-	-	-	-	--
501 Equipment	338,697.00	565,304.00	-	565,304.00	166.91%
502 Office Equipment	17,700.00	21,500.00	-	21,500.00	121.47%
503 Equipment - Non-Inventory	303,959.00	233,329.00	-	233,329.00	76.76%
510 IT Equipment	1,154,077.00	1,013,584.00	-	1,013,584.00	87.83%
601 Claims	-	16,465.00	-	16,465.00	--
602 Other Expense & Obligations	522,152.00	427,949.00	-	427,949.00	81.96%
609 Bonds, Credit Union, Deferred Comp	-	-	-	-	--
705 Refunds-Other	-	-	-	-	--
901 Capitals	678,806.00	431,221.00	-	431,221.00	63.53%
91B Balance Carry Forward	1,732,404.00	10,045,814.00	-	10,045,814.00	579.88%
-- Reversion	-	-	-	-	--
Total Expenses	119,885,887.00	125,014,025.00		125,014,025.00	104.28%
Ending Balance					



This represents an increase of \$12.46 average daily cost (11.7%) from FY2019. This is a result of the population reduction and increase in expenses due to COVID-19. During this pandemic, the population went from a high of 8,539 on March 13, 2020 to a low of 7,349 on September 2, 2020. Facilities also experienced an increase in various costs due to outbreaks including food, medical supplies and staff overtime.



Iowa Corrections Offender Network (ICON)



Data Sharing Initiatives Federal, State and Local Agencies

Courts (CJIS)	VINE Victim Notification	National Corrections Reporting Program
County Attorneys (CJIS)	DHS Child Care Assistance	Internal Revenue Service
DPS Sex Offender Registry (CJIS)	DHS Child Support Recovery	Social Security Administration
DPS Rapsheet Records (CJIS)	DHS Family Investment	N-DEX FBI National Data Exchange
DPS LiveScan Fingerprints	DHS Iowa Food Assistance	Immigration Services
Law Enforcement Officer Photo (CJIS)	DHS Medicaid Fraud	Data Warehouse
NLETS National Law Enforcement (CJIS)	Board of Parole	Statewide Crime Code Table (CJIS)
SAS: Analytics Software and Solutions		County Jail Health Information Exchange



Iowa Corrections Offender Network (ICON)

Deployment Dates

1999	Offender Funds Administration (Banking)
1999	Commissary Operations
2000	Case Management – Community
2002	Electronic Health Records
2003	Pharmacy Administration
2004	Case Management – Prisons
2007	Food Service Management
2008	Investigative System (CIRs)
2009	Intelligence System (ICON View)
2010	Secure Inmate Email (OMail)
2012	Case Management – PDA Integration
2013	Board of Parole Integration

Active Offenders By Supervision

27,771	Probation
8,396	Prison
4,922	Parole
2,721	Pretrial Release With Supervision
1,733	Special Sentence
1,118	Interstate Compact Probation
546	Work Release
359	Interstate Compact Parole
258	Federal
122	OWI Continuum
32	Prison Safekeeper
24	Prison Compact
7	Other

Outside Federal, State and Local Agencies with System Access

- Governor's Office
- Public Safety
- Human Services (Child Support Recovery Unit)
- Attorney General
- Board of Parole
- Local Sheriff and Police Departments
- Immigration and Customs Enforcement
- Federal Probation/Parole Offices
- Citizen's Aide/Ombudsman
- Criminal and Juvenile Justice Planning
- Inspection and Appeals
- Insurance Fraud Bureau
- Vocational Rehabilitation Services
- Workforce Development

<p>First Judicial District 314 East 6th Street Waterloo, Iowa 50704 (319) 236-9626</p>	<p>Third Judicial District 515 Water Street Sioux City, Iowa 51103 (712) 252-0590</p>	<p>Fifth Judicial District 1000 Washington Avenue Des Moines, Iowa 50314 (515) 242-6600</p>	<p>Seventh Judicial District 605 Main Street Davenport, Iowa 52803 (563) 322-7986</p>
<p>Second Judicial District 111 N. Sherman Ames, IA 50010 (515) 232-1810</p>	<p>Fourth Judicial District 801 South 10th Street Council Bluffs, IA 51501 (712) 325-4943</p>	<p>Sixth Judicial District 951 29th Avenue SW Cedar Rapids, Iowa 52404 (319) 398-3675</p>	<p>Eighth Judicial District 1805 West Jefferson Fairfield, Iowa 52556 (641) 472-4242</p>

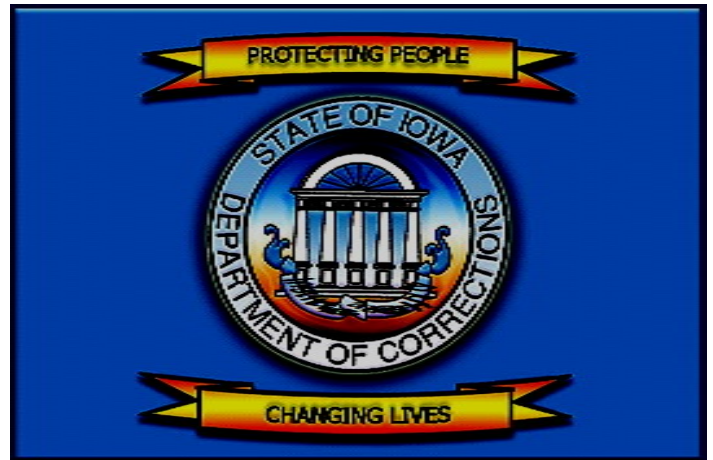
2021 ANNUAL REPORT

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*Annual Report prepared by:
Johana Herdrich 515-725-0027*

Mission:

**Creating Opportunities
for Safer Communities**



<p>Anamosa State Penitentiary 406 North High Street Anamosa, Iowa 52205 (319) 462-3504</p>	<p>Iowa Correctional Institution for Women 300 Elm Avenue SW Mitchellville, Iowa 50169 (515) 967-4236</p>	<p>Iowa State Penitentiary 3 John Bennett Drive Fort Madison, Iowa 52627 (319) 372-5432</p>
<p>Clarinda Correctional Facility 2000 North 16th Street Clarinda, Iowa 51632 (712) 542-5634</p>	<p>Iowa Medical and Classification Center 2700 Coral Ridge Avenue Coralville, Iowa 52241 (319) 626-2391</p>	<p>Newton Correctional Facility 307 South 60th Avenue, W Newton, Iowa 50208 (641) 792-7552</p>
<p>Fort Dodge Correctional Facility 1550 L Street Fort Dodge, Iowa 50501 (515) 574-4700</p>	<p>Mount Pleasant Correctional Facility 1200 East Washington Street Mount Pleasant, Iowa 52641 (319) 385-9511</p>	<p>North Central Correctional Facility 313 Lanedale Rockwell City, Iowa 50579-7464 (712) 297-7521</p>