

# **Dept of Commerce Budgets**

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# Commerce, Department of

## Mission Statement

Serve Iowans by effectively and efficiently providing a fair, flexible and positive regulatory environment. Develop leaders that promote empowerment, communication, trust, and cooperation. Develop employees knowledge and skills to effectively regulate and meet customer needs. Increase consumer and customer awareness through public education. Increase efficiency and responsiveness by sharing departmental resources. Increase efficient transfer of information through advanced technology.

## Description

The Department of Commerce consists of five regulatory divisions under the Department of Commerce umbrella. The divisions are: Alcoholic Beverages

Division, Banking Division, Credit Union Division, Insurance Division, and the Utilities Division. The Professional Licensing Bureau is administrated under the Division of Banking. The Department is committed to fostering an environment conducive to sound economic development in Iowa; maintaining public confidence in the integrity of the regulated industries and professions; establishing policies which protect the public interest while balancing the interests of the consumers, industries and professions; pursuing regulatory objectives in a manner that minimizes the costs and complexities of regulation without sacrificing quality; identifying competitive opportunities and deregulating where appropriate; and providing direct services and information to the public to assist them in responding to the impact of the regulated industry, profession or regulator.

## Performance Measures

Measure	FY 2021	FY 2022	FY 2023	FY 2023
	Actuals Achieved	Current Year Budget Estimate Target	Total Department Request Target	Total Governor's Recommended Target
Total Dollar amount of all Returns to ABD Warehouse	1,276,620	1,000,000	1,000,000	1,000,000
Amount of Revenues Transferred to General Fund	120,600,000	115,100,000	115,100,000	115,100,000
Warehouse and Fleet Inventory Costs	460,834	500,000	500,000	500,000
Percent of State Chartered Banks Examined	100	100	100	100
Percent of Financial Institutions Examined	97	100	100	100
Percent Credit Unions with CAMEL Lower Than 5	100	100	100	100
Percent of Agent Applications Processed within 7 Days	100	100	100	100
Average Number of Days to Complete an Audit	14	30	10	10
Percent Avg. of License Renewals Processed w/in 30 Days	94	90	90	90
Iowa's Pipeline Safety OPS Score	98.9	95	95	95

## Financial Summary

Object Category	FY 2021	FY 2022	FY 2023	FY 2023
	Actuals	Current Year Budget Estimate	Total Department Request	Total Governor's Recommended
<b>Resources</b>				
State Appropriations	31,469,469	32,527,393	32,527,393	33,022,393
Taxes	11,008,117	8,750,000	8,750,000	8,750,000
Receipts from Other Entities	5,025,289	4,932,028	4,732,421	5,046,087
Interest, Dividends, Bonds & Loans	1,047	1	1	1
Fees, Licenses & Permits	83,387,201	71,071,712	65,639,985	65,639,985
Refunds & Reimbursements	17,016,806	11,126,063	10,812,306	10,812,306
Sales, Rents & Services	415,973,556	415,093,039	415,122,000	415,122,000
Miscellaneous	6,027,438	4,541,002	4,541,002	4,541,002
Beginning Balance and Adjustments	16,982,824	17,592,165	8,823,768	16,702,199
<b>Total Resources</b>	<b>586,891,747</b>	<b>565,633,403</b>	<b>550,948,876</b>	<b>559,635,973</b>
<b>Expenditures</b>				
Personal Services	38,059,300	41,174,220	41,279,933	41,611,677
Travel & Subsistence	456,950	2,163,073	2,125,904	2,140,904
Supplies & Materials	842,090	1,041,902	1,040,904	1,047,904
Contractual Services and Transfers	196,687,370	175,677,895	169,946,183	170,064,183
Equipment & Repairs	9,798,036	9,255,362	9,213,343	9,228,343
Claims & Miscellaneous	275,488,092	277,457,776	277,413,795	277,422,051
Licenses, Permits, Refunds & Other	1,542,780	1,133,206	1,133,205	1,133,044
State Aid & Credits	2,854,560	3,800,000	3,800,000	3,800,000
Plant Improvements & Additions	47,847	3,000,000	3,000,000	3,000,000
Appropriations	33,108,430	34,227,770	34,227,770	34,722,770
Reversions	10,414,125	0	0	0
Balance Carry Forward	17,592,166	16,702,199	7,767,839	15,465,097
<b>Total Expenditures</b>	<b>586,891,747</b>	<b>565,633,403</b>	<b>550,948,876</b>	<b>559,635,973</b>
Full Time Equivalents	324	364	366	370

## Appropriations from General Fund

Appropriations	FY 2021	FY 2022	FY 2023	FY 2023
	Actuals	Current Year Budget Estimate	Total Department Request	Total Governor's Recommended
Alcoholic Beverages Operations	1,075,454	1,075,454	1,075,454	1,075,454
<b>Total Alcoholic Beverages</b>	<b>1,075,454</b>	<b>1,075,454</b>	<b>1,075,454</b>	<b>1,075,454</b>
Professional Licensing Bureau	360,856	360,856	360,856	360,856
<b>Total Professional Licensing &amp; Regulation</b>	<b>360,856</b>	<b>360,856</b>	<b>360,856</b>	<b>360,856</b>

## Appropriations from Other Funds

<b>Appropriations</b>	<b>FY 2021 Actuals</b>	<b>FY 2022 Current Year Budget Estimate</b>	<b>FY 2023 Total Department Request</b>	<b>FY 2023 Total Governor's Recommended</b>
Commerce, Dept of Office of Financial Literacy	0	0	0	350,000
Total Commerce-Administration	0	0	0	350,000
Banking Division Commerce Fund	12,468,015	12,990,766	12,990,766	12,990,766
Total Banking Division	12,468,015	12,990,766	12,990,766	12,990,766
Credit Union Division	2,460,005	2,333,413	2,333,413	2,433,413
Total Credit Union Division	2,460,005	2,333,413	2,333,413	2,433,413
IID Financial Exploitation Investigations	0	75,000	0	0
Insurance Division-Commerce Revolving Fund	6,097,094	6,403,101	6,478,101	6,523,101
Total Insurance Division	6,097,094	6,478,101	6,478,101	6,523,101
Housing Improvement Fund Field Auditor	62,317	62,317	62,317	62,317
Total Professional Licensing & Regulation	62,317	62,317	62,317	62,317
Utilities Division	8,945,728	9,226,486	9,226,486	9,226,486
Total Utilities Division	8,945,728	9,226,486	9,226,486	9,226,486

## Appropriations Detail

### Alcoholic Beverages Operations

#### General Fund

#### Appropriation Description

#### ALCOHOLIC BEVERAGES OPERATIONS

### Alcoholic Beverages Operations Financial Summary

Object Class	FY 2021 Actuals	FY 2022 Current Year Budget Estimate	FY 2023 Total Department Request	FY 2023 Total Governor's Recommended
<b>Resources</b>				
Appropriation	1,075,454	1,075,454	1,075,454	1,075,454
Intra State Receipts	1,187,142	1,418,854	1,418,854	1,418,854
Refunds & Reimbursements	61,025	91,000	91,000	91,000
Other Sales & Services	794	2,000	2,000	2,000
<b>Total Resources</b>	<b>2,324,415</b>	<b>2,587,308</b>	<b>2,587,308</b>	<b>2,587,308</b>
<b>Expenditures</b>				
Personal Services-Salaries	1,982,467	2,178,893	2,178,893	2,178,893
Personal Travel In State	3,645	15,750	15,750	15,750
State Vehicle Operation	11,763	40,100	40,100	40,100
Depreciation	17,052	20,000	20,000	20,000
Personal Travel Out of State	4,497	50,000	50,000	50,000
Office Supplies	17,376	19,000	19,000	19,000
Professional & Scientific Supplies	9,261	15,750	15,750	15,750
Printing & Binding	1,297	4,500	4,500	4,500
Uniforms & Related Items	960	2,100	2,100	2,100
Postage	9,692	10,000	10,000	10,000
Communications	21,095	27,000	27,000	27,000
Rentals	1,306	1,306	1,306	1,306
Utilities	17,617	17,000	17,000	17,000
Professional & Scientific Services	22,690	6,000	6,000	6,000
Outside Services	17,889	5,500	5,500	5,500
Advertising & Publicity	0	100	100	100
Attorney General Reimbursements	68,189	75,000	75,000	75,000
Reimbursement to Other Agencies	53,980	38,800	38,800	38,800
ITS Reimbursements	2,071	7,409	7,409	7,409
Gov Fund Type Transfers - Other Agencies Services	8,387	22,000	22,000	22,000
Equipment	52,892	30,000	30,000	30,000
Office Equipment	0	100	100	100
IT Equipment	290	1,000	1,000	1,000
<b>Total Expenditures</b>	<b>2,324,415</b>	<b>2,587,308</b>	<b>2,587,308</b>	<b>2,587,308</b>

## Professional Licensing Bureau

### General Fund

### Appropriation Description

This appropriation funds the bureau's eight boards/ commission in the regulation of the professions.

### Professional Licensing Bureau Financial Summary

Object Class	FY 2021 Actuals	FY 2022 Current Year Budget Estimate	FY 2023 Total Department Request	FY 2023 Total Governor's Recommended
<b>Resources</b>				
Appropriation	360,856	360,856	360,856	360,856
Intra State Receipts	224,496	272,317	272,317	272,317
Gov Fund Type Transfers - Other Agencies	25,327	0	0	0
Fees, Licenses & Permits	1,054,464	1,246,025	1,246,025	1,246,025
<b>Total Resources</b>	<b>1,665,144</b>	<b>1,879,198</b>	<b>1,879,198</b>	<b>1,879,198</b>
<b>Expenditures</b>				
Personal Services-Salaries	992,009	1,072,338	1,072,338	1,072,338
Personal Travel In State	776	23,000	23,000	23,000
State Vehicle Operation	1,023	2,000	2,000	2,000
Depreciation	2,208	2,500	2,500	2,500
Personal Travel Out of State	2,150	64,000	64,000	64,000
Office Supplies	35,272	37,000	37,000	37,000
Facility Maintenance Supplies	0	7	7	7
Printing & Binding	4,018	3,200	3,200	3,200
Postage	11,617	12,000	12,000	12,000
Communications	6,954	8,400	8,400	8,400
Rentals	68,626	76,387	76,387	76,387
Professional & Scientific Services	28,366	22,430	22,430	22,430
Outside Services	14,757	25,000	25,000	25,000
Intra-State Transfers	3,800	7,000	7,000	7,000
Advertising & Publicity	0	7	7	7
Outside Repairs/Service	600	7	7	7
Examination Expense	200	1,700	1,700	1,700
Reimbursement to Other Agencies	8,132	8,700	8,700	8,700
ITS Reimbursements	235,737	235,000	235,000	235,000
Workers Comp. Reimbursement	0	1	1	1
IT Outside Services	5,659	6,000	6,000	6,000
Gov Fund Type Transfers - Attorney General Services	106,762	120,000	120,000	120,000
Gov Fund Type Transfers - Auditor of State Services	13,584	17,000	17,000	17,000
Gov Fund Type Transfers - Other Agencies Services	67,397	60,007	60,007	60,007
Equipment	0	7	7	7
Office Equipment	0	7	7	7
IT Equipment	0	18,000	18,000	18,000
Other Expense & Obligations	55,370	56,000	56,000	56,000
Refunds-Other	125	1,500	1,500	1,500
<b>Total Expenditures</b>	<b>1,665,144</b>	<b>1,879,198</b>	<b>1,879,198</b>	<b>1,879,198</b>

## IID Financial Exploitation Investigations

Law, HF839, establishing Article 8 in Iowa code, chapter 502, the Uniform Securities Act.

Commerce Revolving Fund

### Appropriation Description

This appropriation funds the investigations into financial exploitation of eligible adults per 2021 Session

### IID Financial Exploitation Investigations Financial Summary

Object Class	FY 2021 Actuals	FY 2022 Current Year Budget Estimate	FY 2023 Total Department Request	FY 2023 Total Governor's Recommended
<b>Resources</b>				
Appropriation	0	75,000	0	0
Total Resources	0	75,000	0	0
<b>Expenditures</b>				
Personal Services-Salaries	0	73,500	0	0
Personal Travel In State	0	500	0	0
Personal Travel Out of State	0	1,000	0	0
Total Expenditures	0	75,000	0	0



## Commerce, Dept of Office of Financial Literacy

### Commerce Revolving Fund

#### Appropriation Description

To fund the Office of Financial Literacy within the  
Department of Commerce

### Commerce, Dept of Office of Financial Literacy Financial Summary

Object Class	FY 2021 Actuals	FY 2022 Current Year Budget Estimate	FY 2023 Total Department Request	FY 2023 Total Governor's Recommended
<b>Resources</b>				
Appropriation	0	0	0	350,000
Total Resources	0	0	0	350,000
<b>Expenditures</b>				
Personal Services-Salaries	0	0	0	186,744
Personal Travel In State	0	0	0	5,000
Personal Travel Out of State	0	0	0	10,000
Office Supplies	0	0	0	5,000
Printing & Binding	0	0	0	1,000
Postage	0	0	0	1,000
Communications	0	0	0	5,000
Rentals	0	0	0	30,000
Outside Services	0	0	0	5,000
Advertising & Publicity	0	0	0	15,000
Reimbursement to Other Agencies	0	0	0	10,000
ITS Reimbursements	0	0	0	25,000
Gov Fund Type Transfers - Attorney General Services	0	0	0	12,000
Gov Fund Type Transfers - Auditor of State Services	0	0	0	11,000
Gov Fund Type Transfers - Other Agencies Services	0	0	0	5,000
IT Equipment	0	0	0	15,000
Other Expense & Obligations	0	0	0	8,256
Total Expenditures	0	0	0	350,000

## Banking Division Commerce Fund

### Commerce Revolving Fund

#### Appropriation Description

Provides regulatory supervision support for 100% of state chartered banks and regulated loan licenses.

#### Banking Division Commerce Fund Financial Summary

Object Class	FY 2021 Actuals	FY 2022 Current Year Budget Estimate	FY 2023 Total Department Request	FY 2023 Total Governor's Recommended
<b>Resources</b>				
Appropriation	11,978,695	12,468,015	12,990,766	12,990,766
Salary Adjustment	489,320	522,751	0	0
Fees, Licenses & Permits	405,464	809,149	809,149	809,149
Other	1,750	0	0	0
<b>Total Resources</b>	<b>12,875,229</b>	<b>13,799,915</b>	<b>13,799,915</b>	<b>13,799,915</b>
<b>Expenditures</b>				
Personal Services-Salaries	10,636,687	11,384,302	11,412,551	11,412,551
Personal Travel In State	16,379	460,000	460,000	460,000
State Vehicle Operation	25,448	78,000	78,000	78,000
Depreciation	2,101	41,000	41,000	41,000
Personal Travel Out of State	0	507,651	488,316	488,316
Office Supplies	167,693	210,498	210,500	210,500
Printing & Binding	954	502	502	502
Postage	1,880	5,350	5,350	5,350
Communications	35,531	46,040	46,040	46,040
Rentals	121,561	164,869	155,955	155,955
Professional & Scientific Services	67,135	101,501	101,501	101,501
Outside Services	17,126	107,512	107,513	107,513
Intra-State Transfers	1,687	302	301	301
Advertising & Publicity	0	827	827	827
Outside Repairs/Service	720	2,502	2,502	2,502
Examination Expense	0	2	2	2
Reimbursement to Other Agencies	28,641	45,300	45,300	45,300
ITS Reimbursements	80,790	213,220	213,220	213,220
Workers Comp. Reimbursement	0	100	100	100
IT Outside Services	742	2	2	2
Gov Fund Type Transfers - Attorney General Services	24,746	41,880	41,880	41,880
Gov Fund Type Transfers - Auditor of State Services	13,328	20,002	20,002	20,002
Gov Fund Type Transfers - Other Agencies Services	3,463	8,000	8,000	8,000
Equipment	0	11,002	11,002	11,002
Office Equipment	0	15,034	15,034	15,034
Equipment - Non-Inventory	0	1	0	0
IT Equipment	144,098	184,742	184,742	184,742
Other Expense & Obligations	127,794	144,916	144,916	144,916
Refunds-Other	5,064	4,858	4,857	4,857
Reversions	1,351,659	0	0	0
<b>Total Expenditures</b>	<b>12,875,229</b>	<b>13,799,915</b>	<b>13,799,915</b>	<b>13,799,915</b>

## Credit Union Division

### Commerce Revolving Fund

#### Appropriation Description

Supervise and regulate state credit unions. Review examination and status reports of individual credit unions and take such remedial action as deemed necessary in the circumstances. Process administrative papers related to credit union organization and operation. Provide liaison with member account

insurers. Prepare and publish an annual report of the division including information from state credit union individual reports. Respond to public inquiry and complaints regarding credit unions. Advise various agencies, governmental bodies and individuals on matters regarding credit unions. Advise various agencies, governmental bodies and individuals on matters of credit union affairs. Recommend and participate in the drafting of legislation and adoption of rules and regulations. Office management; personnel management; and budget preparation and monitoring.

### Credit Union Division Financial Summary

Object Class	FY 2021 Actuals	FY 2022 Current Year Budget Estimate	FY 2023 Total Department Request	FY 2023 Total Governor's Recommended
<b>Resources</b>				
Appropriation	2,407,929	2,260,005	2,333,413	2,433,413
Salary Adjustment	52,076	73,408	0	0
Gov Fund Type Transfers - Other Agencies	35,684	0	0	0
<b>Total Resources</b>	<b>2,495,689</b>	<b>2,333,413</b>	<b>2,333,413</b>	<b>2,433,413</b>
<b>Expenditures</b>				
Personal Services-Salaries	1,798,426	1,841,048	1,841,048	1,941,048
Personal Travel In State	38,454	100,000	100,000	100,000
Personal Travel Out of State	0	25,000	25,000	25,000
Office Supplies	37,014	45,000	45,000	45,000
Printing & Binding	0	500	500	500
Postage	280	500	500	500
Communications	20,411	25,000	25,000	25,000
Rentals	35,607	43,000	43,000	43,000
Outside Services	122	5,000	5,000	5,000
Advertising & Publicity	0	500	500	500
Reimbursement to Other Agencies	5,484	12,500	12,500	12,500
ITS Reimbursements	308,293	171,365	171,365	171,365
Gov Fund Type Transfers - Attorney General Services	12,000	12,000	12,000	12,000
Gov Fund Type Transfers - Auditor of State Services	11,083	11,000	11,000	11,000
Gov Fund Type Transfers - Other Agencies Services	6,018	5,500	5,500	5,500
IT Equipment	61,501	25,500	25,500	25,500
Other Expense & Obligations	15,000	10,000	10,000	10,000
Reversions	145,998	0	0	0
<b>Total Expenditures</b>	<b>2,495,689</b>	<b>2,333,413</b>	<b>2,333,413</b>	<b>2,433,413</b>

## Insurance Division-Commerce Revolving Fund

services. It supports the remaining personnel and operations of the Division.

### Commerce Revolving Fund

### Appropriation Description

This appropriation funds the Division operations except for the insurance company examination

## Insurance Division-Commerce Revolving Fund Financial Summary

Object Class	FY 2021 Actuals	FY 2022 Current Year Budget Estimate	FY 2023 Total Department Request	FY 2023 Total Governor's Recommended
<b>Resources</b>				
Balance Brought Forward (Approps)	0	0	1,007,982	91
Appropriation	5,817,851	6,367,094	6,478,101	6,523,101
Salary Adjustment	279,243	36,007	0	0
Federal Support	925,062	1,126,234	1,126,234	1,439,900
Gov Fund Type Transfers - Other Agencies	8,132	0	0	0
Fees, Licenses & Permits	925,377	100	100	100
Refunds & Reimbursements	15,692,627	10,588,111	10,274,354	10,274,354
<b>Total Resources</b>	<b>23,648,292</b>	<b>18,117,546</b>	<b>18,886,771</b>	<b>18,237,546</b>
<b>Expenditures</b>				
Personal Services-Salaries	11,951,997	13,480,750	13,555,750	13,600,750
Personal Travel In State	9,536	34,550	34,550	34,550
State Vehicle Operation	4,225	5,600	5,600	5,600
Depreciation	5,931	7,600	7,600	7,600
Personal Travel Out of State	(19,288)	78,501	78,501	78,501
Office Supplies	101,569	296,256	296,256	296,256
Other Supplies	5,458	4,600	4,600	4,600

## Insurance Division-Commerce Revolving Fund Financial Summary (Continued)

Object Class	FY 2021	FY 2022	FY 2023	FY 2023
	Actuals	Current Year Budget Estimate	Total Department Request	Total Governor's Recommended
Printing & Binding	154,106	50,601	50,601	50,601
Postage	53,940	104,100	104,100	104,100
Communications	71,384	117,200	117,200	117,200
Rentals	587,071	933,645	933,645	933,645
Professional & Scientific Services	654,080	652,908	652,908	652,908
Outside Services	57,079	156,188	156,188	156,188
Intra-State Transfers	233,793	976,430	976,430	976,430
Advertising & Publicity	0	1,700	1,700	1,700
Outside Repairs/Service	0	5,500	5,500	5,500
Reimbursement to Other Agencies	82,037	49,600	49,600	49,600
ITS Reimbursements	403,995	553,046	553,046	553,046
Workers Comp. Reimbursement	0	6,600	6,600	6,600
IT Outside Services	88,379	1,001	1,001	1,001
Gov Fund Type Transfers - Attorney General Services	160,477	176,400	176,400	176,400
Gov Fund Type Transfers - Auditor of State Services	19,877	19,500	19,500	19,500
Gov Fund Type Transfers - Other Agencies Services	48,141	78,700	78,700	78,700
Equipment	504	0	0	0
Office Equipment	0	11,601	11,601	11,601
Equipment - Non-Inventory	11,999	3,673	3,673	3,673
IT Equipment	90,859	310,401	310,401	310,401
Other Expense & Obligations	177	602	602	602
Refunds-Other	0	202	202	202
Balance Carry Forward (Approps)	0	91	694,316	91
Reversions	8,870,968	0	0	0
<b>Total Expenditures</b>	<b>23,648,292</b>	<b>18,117,546</b>	<b>18,886,771</b>	<b>18,237,546</b>

## Utilities Division

### Commerce Revolving Fund

#### Appropriation Description

This appropriation funds the operations of the Utilities Division within the Department of Commerce. The Utilities Division/Utilities Board is charged with the responsibility of establishing just and reasonable rates for consumers while maintaining the financial integrity of those utilities under its rate jurisdiction, ensuring efficient, safe, and reliable telecommunica-

tions, electric, natural gas, and water utility services, overseeing energy efficiency programs, participating in relevant federal proceedings, and overseeing "Relay Iowa" and the equipment distribution program which enable people with communication impairments to access the telecommunications system. All Iowa Utilities Board costs are assessed directly to the state's utilities. If funding for the Utilities Board were to be eliminated, the state would be unable to regulate the rates and services of Iowa's electric, natural gas, water and telephone utilities. In 2015, these utilities collected more than \$6.5 billion from Iowans.

#### Utilities Division Financial Summary

Object Class	FY 2021	FY 2022	FY 2023	FY 2023
	Actuals	Current Year Budget Estimate	Total Department Request	Total Governor's Recommended
<b>Resources</b>				
Balance Brought Forward (Approps)	0	173,439	0	0
Appropriation	8,945,728	8,945,727	9,226,486	9,226,486
Salary Adjustment	0	280,759	0	0
Federal Support	701,701	816,020	793,333	793,333
Intra State Receipts	796,899	773,042	625,083	625,083
Gov Fund Type Transfers - Other Agencies	8,251	8,000	8,000	8,000
Fees, Licenses & Permits	95,849	50,000	0	0
Refunds & Reimbursements	7,436	1	1	1
Other	300	5,000	5,000	5,000
<b>Total Resources</b>	<b>10,556,165</b>	<b>11,051,988</b>	<b>10,657,903</b>	<b>10,657,903</b>
<b>Expenditures</b>				
Personal Services-Salaries	7,537,900	7,848,188	7,915,332	7,915,332
Personal Travel In State	41,431	104,100	66,100	66,100
State Vehicle Operation	27,252	31,000	31,000	31,000
Depreciation	13,238	33,444	55,110	55,110
Personal Travel Out of State	24	68,500	68,500	68,500

## Utilities Division Financial Summary (Continued)

Object Class	FY 2021	FY 2022	FY 2023	FY 2023
	Actuals	Current Year Budget Estimate	Total Department Request	Total Governor's Recommended
Office Supplies	89,731	127,881	126,881	126,881
Other Supplies	5,408	0	0	0
Printing & Binding	1,799	1,500	1,500	1,500
Postage	1,233	1,850	1,850	1,850
Communications	68,206	67,681	67,681	67,681
Rentals	5,161	7,500	7,500	7,500
Professional & Scientific Services	10,837	15,000	15,000	15,000
Outside Services	38,292	87,779	72,779	72,779
Intra-State Transfers	1,395,839	616,563	616,563	616,563
Outside Repairs/Service	0	750	750	750
Reimbursement to Other Agencies	283,649	410,431	238,492	238,492
ITS Reimbursements	122,296	426,987	405,929	405,929
IT Outside Services	465,964	714,121	574,522	574,522
Gov Fund Type Transfers - Auditor of State Services	23,610	22,000	22,000	22,000
Gov Fund Type Transfers - Other Agencies Services	74,891	89,000	79,000	79,000
Office Equipment	0	2,000	2,000	2,000
Equipment - Non-Inventory	0	500	500	500
IT Equipment	104,315	279,317	237,299	237,299
Other Expense & Obligations	(200)	95,894	51,613	51,613
Fees	0	1	1	1
Refunds-Other	7,414	1	1	1
Capitals	18,934	0	0	0
Balance Carry Forward (Approps)	173,439	0	0	0
Reversions	45,500	0	0	0
<b>Total Expenditures</b>	<b>10,556,165</b>	<b>11,051,988</b>	<b>10,657,903</b>	<b>10,657,903</b>

## Housing Improvement Fund Field Auditor

State Housing Trust Fund

### Appropriation Description

Housing Improvement Fund Field Auditor

### Housing Improvement Fund Field Auditor Financial Summary

Object Class	FY 2021	FY 2022	FY 2023	FY 2023
	Actuals	Current Year Budget Estimate	Total Department Request	Total Governor's Recommended
<b>Resources</b>				
Appropriation	62,317	62,317	62,317	62,317
Total Resources	62,317	62,317	62,317	62,317
<b>Expenditures</b>				
Intra-State Transfers	62,317	62,317	62,317	62,317
Total Expenditures	62,317	62,317	62,317	62,317



## Fund Detail

### Commerce, Department of Fund Detail

Funds	FY 2021 Actuals	FY 2022 Current Year Budget Estimate	FY 2023 Total Department Request	FY 2023 Total Governor's Recommended
Commerce-Administration	58,529,453	50,535,607	39,075,046	50,437,327
Commerce Revolving Fund	58,529,453	50,535,607	39,075,046	50,437,327
Alcoholic Beverages	454,948,208	450,807,134	454,035,616	450,807,134
Tobacco Compliance Employee Tr	1,118,230	1,185,878	1,424,773	1,185,878
Liquor Control Act Fund	453,829,978	449,621,256	452,610,843	449,621,256
Banking Division	848,592	895,237	838,312	884,940
Money Services Licensing Fund	848,592	895,237	838,312	884,940
Insurance Division	4,879,035	4,698,232	3,594,993	4,103,077
Health Organization Insolvency	440,100	441,100	442,100	442,100
Insurance Division Education Fund	3,028,895	3,074,918	2,141,109	2,582,279
Insurance Division Cemetery Fund	77,723	90,833	91,147	86,698
Service Company Oversight Fund	279,624	462,733	464,549	496,078
Insurance Division Regulatory	636,291	454,164	382,505	317,064
Insurance Division Clearing Account	270,333	15,361	15,311	15,150
Investor Restitution Fund	20,507	18,101	13,380	18,101
Settlement Account	125,561	141,022	44,892	145,607
Professional Licensing & Regulation	428,194	434,165	350,174	392,165
Disciplinary Hearing Fund	1,500	1,650	1,650	1,650
Real Estate Education Fund	426,694	432,515	348,524	390,515
Utilities Division	13,631,014	8,356,343	2,847,910	3,003,730
Pass Through Funds Research	11,001,333	5,382,700	2	973
Dual Party Relay Service	2,629,681	2,973,643	2,847,908	3,002,757

### Commerce Revolving Fund

Appropriations are made from the fund to the divisions for operations.

#### Fund Description

The fund receives revenues collected by the divisions of Banking, Credit Union, Insurance and Utilities.

## Commerce Revolving Fund Detail

Object Class	FY 2021 Actuals	FY 2022 Current Year Budget Estimate	FY 2023 Total Department Request	FY 2023 Total Governor's Recommended
<b>Resources</b>				
Balance Brought Forward (Funds)	(91,103)	10,777,905	(682,656)	10,679,625
Adjustment to Balance Forward	2,515	0	0	0
Intra State Receipts	596,104	100	100	100
Reversions	10,950,671	0	0	0
Fees, Licenses & Permits	46,996,461	39,757,501	39,757,501	39,757,501
Refunds & Reimbursements	0	100	100	100
Other	74,805	1	1	1
<b>Total Commerce Revolving Fund</b>	<b>58,529,453</b>	<b>50,535,607</b>	<b>39,075,046</b>	<b>50,437,327</b>
<b>Expenditures</b>				
Intra-State Transfers	14,643,118	5,628,212	5,628,212	5,628,212
Appropriation	33,108,430	34,227,770	34,227,770	34,722,770
Balance Carry Forward (Funds)	10,777,905	10,679,625	(780,936)	10,086,345
<b>Total Commerce Revolving Fund</b>	<b>58,529,452</b>	<b>50,535,607</b>	<b>39,075,046</b>	<b>50,437,327</b>

### Tobacco Compliance Employee Tr

against retailers that sell tobacco products to persons under the age of 18.

#### Fund Description

The fund will receive revenue from the civil penalties assessed by the Iowa Department of Public Health

## Tobacco Compliance Employee Tr Detail

Object Class	FY 2021 Actuals	FY 2022 Current Year Budget Estimate	FY 2023 Total Department Request	FY 2023 Total Governor's Recommended
<b>Resources</b>				
Balance Brought Forward (Funds)	519,706	280,811	519,706	280,811
Intra State Receipts	501,000	478,500	478,500	478,500
Refunds & Reimbursements	84,624	396,567	396,567	396,567
Other	12,900	30,000	30,000	30,000
<b>Total Tobacco Compliance Employee Tr</b>	<b>1,118,230</b>	<b>1,185,878</b>	<b>1,424,773</b>	<b>1,185,878</b>
<b>Expenditures</b>				
Personal Services-Salaries	498,743	537,414	537,414	537,414
Personal Travel In State	0	5,000	5,000	5,000
State Vehicle Operation	0	15,600	15,600	15,600
Depreciation	0	2,000	2,000	2,000
Personal Travel Out of State	0	2,000	2,000	2,000
Office Supplies	0	1,000	1,000	1,000
Postage	4,503	3,500	3,500	3,500
Communications	2,628	3,000	3,000	3,000
Outside Services	251,585	183,653	183,753	183,753
Attorney General Reimbursements	52,000	52,000	52,000	52,000
Reimbursement to Other Agencies	0	200	100	100
ITS Reimbursements	372	10,100	10,100	10,100
Other Expense & Obligations	2,690	85,000	85,000	85,000
Balance Carry Forward (Funds)	280,811	280,811	519,706	280,811
IT Outside Services	13,923	2,000	2,000	2,000
IT Equipment	0	2,500	2,500	2,500
Gov Fund Type Transfers - Other Agencies Services	10,975	100	100	100
<b>Total Tobacco Compliance Employee Tr</b>	<b>1,118,230</b>	<b>1,185,878</b>	<b>1,424,773</b>	<b>1,185,878</b>

### Insurance Division Education Fund

Expenditures are made for public service announcements and mailings to educate the public.

#### Fund Description

This fund is used to account for amounts received from a court settlement from a brokerage firm.

## Insurance Division Education Fund Detail

Object Class	FY 2021 Actuals	FY 2022 Current Year Budget Estimate	FY 2023 Total Department Request	FY 2023 Total Governor's Recommended
<b>Resources</b>				
Balance Brought Forward (Funds)	1,354,895	1,601,245	667,436	1,108,606
Fees, Licenses & Permits	0	1,473,672	1,473,672	1,473,672
Refunds & Reimbursements	100,000	0	0	0
Other	1,574,000	1	1	1
<b>Total Insurance Division Education Fund</b>	<b>3,028,895</b>	<b>3,074,918</b>	<b>2,141,109</b>	<b>2,582,279</b>
<b>Expenditures</b>				
Personal Services-Salaries	61,018	61,301	61,301	61,301
Personal Travel In State	370	5,000	5,000	5,000
State Vehicle Operation	907	900	900	900
Depreciation	1,665	2,000	2,000	2,000
Personal Travel Out of State	0	1,000	1,000	1,000
Office Supplies	0	2,100	2,100	2,100
Printing & Binding	0	2,100	2,100	2,100
Postage	1,077	1,100	1,100	1,100
Communications	622	700	700	700
Rentals	9,950	65,000	65,000	65,000
Professional & Scientific Services	1,346,512	1,809,000	1,809,000	1,809,000
Outside Services	0	5,000	5,000	5,000
Intra-State Transfers	0	100	100	100
Advertising & Publicity	2,500	7,000	7,000	7,000
Refunds-Other	0	1	1	1
Balance Carry Forward (Funds)	1,601,245	1,108,606	174,797	615,967
Gov Fund Type Transfers - Attorney General Services	3,029	4,000	4,000	4,000
Gov Fund Type Transfers - Other Agencies Services	0	10	10	10
<b>Total Insurance Division Education Fund</b>	<b>3,028,895</b>	<b>3,074,918</b>	<b>2,141,109</b>	<b>2,582,279</b>

### Dual Party Relay Service

#### Fund Description

This account receives fees from wireless communications providers and assessments from telephone utili-

ties to appropriate funds to plan, establish, administer, and promote the relay service and equipment distribution program.

## Dual Party Relay Service Detail

Object Class	FY 2021 Actuals	FY 2022 Current Year Budget Estimate	FY 2023 Total Department Request	FY 2023 Total Governor's Recommended
<b>Resources</b>				
Balance Brought Forward (Funds)	1,297,782	1,615,706	1,489,971	1,644,820
Adjustment to Balance Forward	893	0	0	0
Fees, Licenses & Permits	1,331,006	1,357,937	1,357,937	1,357,937
<b>Total Dual Party Relay Service</b>	<b>2,629,681</b>	<b>2,973,643</b>	<b>2,847,908</b>	<b>3,002,757</b>
<b>Expenditures</b>				
Personal Services-Salaries	157,252	167,468	176,288	176,288
Personal Travel In State	0	1,800	1,800	1,800
Personal Travel Out of State	0	8,000	8,000	8,000
Office Supplies	380	1,880	1,880	1,880
Other Supplies	0	1	1	1
Communications	0	365	365	365
Professional & Scientific Services	576,584	758,791	758,791	758,791
Reimbursement to Other Agencies	66	0	0	0
ITS Reimbursements	3,114	0	7,125	7,125
Other Expense & Obligations	(153)	0	0	0
Refunds-Other	59,744	1	1	1
Aid to Individuals	216,455	300,000	300,000	300,000
Balance Carry Forward (Funds)	1,615,706	1,644,820	1,493,440	1,648,289
IT Outside Services	533	40,300	50,000	50,000
IT Equipment	0	2,500	2,500	2,500
Gov Fund Type Transfers - Other Agencies Services	0	47,717	47,717	47,717
<b>Total Dual Party Relay Service</b>	<b>2,629,681</b>	<b>2,973,643</b>	<b>2,847,908</b>	<b>3,002,757</b>

## Liquor Control Act Fund

### Fund Description

Direct receipts generated from the sale of beverages, licenses, and taxes are deposited in this account.

## Liquor Control Act Fund Detail

Object Class	FY 2021 Actuals	FY 2022 Current Year Budget Estimate	FY 2023 Total Department Request	FY 2023 Total Governor's Recommended
<b>Resources</b>				
Balance Brought Forward (Funds)	951,242	961,655	3,951,242	961,655
Adjustment to Balance Forward	7,760	0	0	0
Liquor Tax	11,008,117	8,750,000	8,750,000	8,750,000
Intra State Receipts	0	28,961	0	0
Reimbursement from Other Agencies	14,254	10,000	10,000	10,000
Fees, Licenses & Permits	20,806,952	20,250,000	20,250,000	20,250,000
Refunds & Reimbursements	703,972	24,601	24,601	24,601
Rents & Leases	89,975	87,000	87,000	87,000
Liquor	415,835,178	414,971,039	415,000,000	415,000,000
Other Sales & Services	47,608	33,000	33,000	33,000
Unearned Receipts	4,360,547	4,500,000	4,500,000	4,500,000
Other	3,136	5,000	5,000	5,000
Gov Fund Type Transfers - Other Agencies	1,236	0	0	0
<b>Total Liquor Control Act Fund</b>	<b>453,829,978</b>	<b>449,621,256</b>	<b>452,610,843</b>	<b>449,621,256</b>
<b>Expenditures</b>				
Personal Services-Salaries	2,229,395	2,176,949	2,176,949	2,176,949
Personal Travel In State	0	6,000	6,000	6,000
State Vehicle Operation	244,827	252,000	252,000	252,000
Depreciation	1,260	100	100	100
Personal Travel Out of State	0	22,100	22,100	22,100
Office Supplies	1,391	2,000	2,000	2,000
Facility Maintenance Supplies	4,332	8,000	8,000	8,000
Equipment Maintenance Supplies	1,117	2,000	2,000	2,000
Professional & Scientific Supplies	13,230	10,500	10,500	10,500
Other Supplies	16,861	21,000	21,000	21,000
Printing & Binding	87,169	30,000	30,000	30,000

## Liquor Control Act Fund Detail (Continued)

Object Class	FY 2021	FY 2022	FY 2023	FY 2023
	Actuals	Current Year Budget Estimate	Total Department Request	Total Governor's Recommended
Uniforms & Related Items	724	1,000	1,000	1,000
Communications	80,517	78,250	78,250	78,250
Rentals	30,901	16,200	16,200	16,200
Utilities	161,949	150,000	150,000	150,000
Professional & Scientific Services	10,689	0	0	0
Outside Services	6,437,546	6,376,506	6,376,506	6,376,506
Intra-State Transfers	150,770,407	142,950,000	142,950,000	142,950,000
Outside Repairs/Service	71,415	80,000	80,000	80,000
Auditor of State Reimbursements	46,250	45,000	45,000	45,000
Reimbursement to Other Agencies	277,873	247,901	247,601	247,601
ITS Reimbursements	2,093,805	1,566,000	1,566,000	1,566,000
Equipment	2,615,077	2,811,880	2,811,880	2,811,880
Office Equipment	9,617	25,000	25,000	25,000
Other Expense & Obligations	99,770	149,000	149,000	149,000
Inventory	275,187,644	276,916,364	276,916,664	276,916,664
Licenses	4,267	4,500	4,500	4,500
Refunds-Other	1,193,664	1,100,500	1,100,500	1,100,500
State Aid	2,638,105	3,500,000	3,500,000	3,500,000
Capitals	28,912	3,000,000	3,000,000	3,000,000
Balance Carry Forward (Funds)	961,655	961,655	3,951,242	961,655
IT Outside Services	615,583	175,000	175,000	175,000
IT Equipment	6,706,884	5,516,897	5,516,897	5,516,897
Intra-Agency Transfer	1,187,142	1,418,854	1,418,854	1,418,854
Gov Fund Type Transfers - Other Agencies Services	0	100	100	100
<b>Total Liquor Control Act Fund</b>	<b>453,829,978</b>	<b>449,621,256</b>	<b>452,610,843</b>	<b>449,621,256</b>

## Settlement Account

### Fund Description

The court appoints the State to be the receiver over money, invested assets and earned interest held in this account.

## Settlement Account Detail

Object Class	FY 2021 Actuals	FY 2022 Current Year Budget Estimate	FY 2023 Total Department Request	FY 2023 Total Governor's Recommended
<b>Resources</b>				
Balance Brought Forward (Funds)	35,561	125,561	29,431	130,146
Fees, Licenses & Permits	0	4,800	4,800	4,800
Refunds & Reimbursements	90,000	10,661	10,661	10,661
<b>Total Settlement Account</b>	<b>125,561</b>	<b>141,022</b>	<b>44,892</b>	<b>145,607</b>
<b>Expenditures</b>				
Personal Travel In State	0	500	500	500
Personal Travel Out of State	0	100	100	100
Office Supplies	0	100	100	100
Professional & Scientific Services	0	2,338	2,338	2,338
Outside Services	0	887	887	887
Intra-State Transfers	0	621	621	621
Reimbursement to Other Agencies	0	100	100	100
Refunds-Other	0	6,130	6,130	6,130
Balance Carry Forward (Funds)	125,561	130,146	34,016	134,731
Gov Fund Type Transfers - Attorney General Services	0	100	100	100
<b>Total Settlement Account</b>	<b>125,561</b>	<b>141,022</b>	<b>44,892</b>	<b>145,607</b>